



REC'D 06 JUL 2004

WIPO

PCT

**Patent Office  
Canberra**

I, LEANNE MYNOTT, MANAGER EXAMINATION SUPPORT AND SALES hereby certify that annexed is a true copy of the Provisional specification in connection with Application No. 2003903108 for a patent by ROLLER TRACK AUSTRALIA PTY LTD as filed on 17 June 2003.

**BEST AVAILABLE COPY**

WITNESS my hand this  
Twenty-fourth day of June 2004

**LEANNE MYNOTT  
MANAGER EXAMINATION SUPPORT  
AND SALES**



**PRIORITY  
DOCUMENT**

SUBMITTED OR TRANSMITTED IN  
COMPLIANCE WITH RULE 17.1(a) OR (b)

AUSTRALIA  
Patents Act 1990

**PROVISIONAL SPECIFICATION**

Invention Title: A ROLLER ASSEMBLY

Applicant: ROLLER TRACK AUSTRALIA PTY LTD

The invention is described in the following statement:

## A ROLLER ASSEMBLY

The present invention relates generally to a roller assembly, and more particularly to an assembly for conveying containers, cartons, boxes, trays, receptacles and the like. The invention has been developed especially, but not exclusively, as an assembly for use in live carton storage and is herein described in that context. However, it is to be appreciated that the invention has broader application and is not limited to that particular use.

10 Live carton storage refers to the arrangement whereby a number of product lines are each housed in separate containers, cartons, boxes, trays, receptacles or the like on one or more shelves, racks or other suitable structures. Shelves, racks and other structures suitable for use in live carton storage are hereinafter collectively referred to as "shelves".

15 Hereafter, containers, cartons, boxes, trays, receptacles and the like used in live carton storage will be collectively referred to as "containers".

One side of the shelves used in live carton storage, including the area  
20 immediately surrounding that shelf side, defines a picking area. An individual in the picking area can select desired items from the range of items provided in the various product lines, each provided in a separate container and presented on the shelves to the picking area.

25 As an item is selected and removed, the number of items available to the picking area from that product line diminishes. Continued removal of items from that product line results in emptying of the container holding those items, such that replenishment of that product line becomes necessary. Replenishment of a product line is possible via the replenishment area provided on opposite side of  
30 the shelves to the picking area. The replenished items in another container are moved through the shelf arrangement from the replenishment area to the picking area, as required, so as to be available for individual picking.

Generally, the shelves are able to support several containers of a particular item, with containers holding a particular item type being provided on the shelves one behind the other, in rows extending between the replenishment area and the picking area. These rows are generally referred to in the industry 5 as "lanes". When the container at the picking area is emptied of items it is removed and each of the containers in the lane behind the emptied container are moved forward, such that access to the next (full) container in the lane is provided at the picking area.

10       Rollers are generally installed on or in place of shelf surfaces to facilitate ease of container movement across the structure from the replenishment area to the picking area. A number of individual rollers, generally configured in two (or possibly more) parallel rows extend between the replenishment area and the picking area, over which the containers are transferred. The rows of rollers are 15 generally inclined downwardly from the replenishment area to the picking area, such that containers move by gravity across the rollers from the replenishment area to the picking area with minimal manual effort required.

20       Various configurations and designs of roller assemblies are currently available for use in live carton storage. Several existing roller assembly designs undesirably incorporate a relatively large number of separate parts, which results in a higher than desired production cost and assembly time.

25       Undesirably, some existing roller assembly designs are potentially subject to failure when subjected to heavy loads.

30       Moreover, existing roller assembly designs are difficult to repair, since individual damaged components cannot easily be replaced. Thus, damaged roller assembly components often result in entire roller assemblies needing to be replaced.

It would therefore be desirable to provide a simplified roller assembly when compared to existing roller assemblies, and which is more cost effective to manufacture and less time consuming to assemble.

It would also be desirable to provide a roller assembly that is less likely to fail when subjected to heavy loads.

5 Moreover, it would be desirable to be able to replace individual roller assembly components should the need arise.

The above discussion of the background to the invention is included to explain the context of the invention. This is not to be taken as an admission that  
10 any of the material referred to was published, known or part of the common general knowledge in Australia as at the filing date of the application.

In a first broad aspect of the present invention, there is provided a roller assembly for use in live carton storage. The assembly includes a roller support.  
15 The roller support includes a base portion and two wall portions. The two wall portions are connected to and upstanding from the base portion. The roller assembly includes at least one roller rotatable about a roller axle, with the axle of each roller extending outwardly beyond the longitudinal ends of the roller. Each wall portion of the roller support includes a slot for receiving a respective  
20 end of the axle of each roller for rotatably supporting the roller there between. The roller assembly also includes a means for retaining the ends of each roller axle in the respective receiving slots.

In one form, the base portion and upstanding side portions of the roller assembly define a longitudinally extending channel having a generally U-shape.  
25 The base portion and side portions may be integrally formed.

In another form, the base portion and side portions define a pair of longitudinally extending and generally L-shaped members. It is envisaged that  
30 the L-shaped members would be orientated parallel to one another, and may be spaced apart any desired and/or practical distance.

The roller assembly may include a plurality of rollers, with each roller orientated generally transversely relative to the longitudinally extending base and wall portions.

5       The transversely orientated rollers could be spaced longitudinally along the wall portions of the roller support. Further, each of the rollers could be spaced equidistantly along the roller assembly, thereby potentially distributing the load carried by the assembly as evenly as possible between each of the rollers.

10      In one form, the axle ends of each roller are receivable in respective pairs of slots provided in the upstanding wall portions, with each slot pair being longitudinally spaced along the wall portions.

15      The slots could adopt any practical shape and form.

Each roller may be rotatable about a respective roller axle. Alternatively, it may be desirable for two or more rollers to share a common roller axle.

20      A roller locator may be provided to retain each of the rollers in its desired position relative to the wall portions. The locator may include two parallel and longitudinally extending axle abutment surfaces, which are configured to locate axially slightly beyond each end of the axle rollers when fitted in the slots provided in the wall portions. In this way, axial displacement of the roller axles relative to the roller support is limited to displacement between the axle abutment surfaces.

The axle abutment surfaces may form part of a frame that is connectable to the wall portions of the roller support.

30      The axles may be fixed to the rollers, in which case axial displacement of the rollers and axles may be prevented by the roller ends abutting the inside surfaces of the wall portions.

In a second broad aspect of the present invention, there is provided a motion control device for a roller assembly. The motion control device is pivotally connectable to a roller support of a roller assembly. The device includes at least one contact surface for impeding the motion of an object travelling over the rollers of the roller assembly.

The motion control device according to the present invention is particularly useful for roller assemblies used in live carton storage.

The motion control device can preferably be mounted to the roller assembly in more than one orientation, thereby providing the potential of being able to be used for more than one specific use.

The motion control device could be connected to the roller support such that a contact surface is orientated generally flush with the plane of the roller contact. In this configuration the motion control device may be used for locking a roller support end cap in position on the roller support.

Alternatively, a contact surface may be orientated substantially perpendicularly relative to the plane of the roller contact, in order for the motion control device to act as an end stop preventing further motion of containers across the rollers.

In a yet further configuration a contact surface could be orientated at an angle somewhere between parallel and perpendicular to the plane of the roller contact, in order to slow or otherwise arrest the movement of any containers passing across the rollers.

The motion control device could include more than one contact surface.

The motion control device may include a pivot pin or other pivoting means. Alternatively, the device may include means for connecting a pivot pin other pivoting means.

More than one aspect of the aspect of the present invention has been described above in terms of a roller assembly and a motion control device. It is to be appreciated that these aspects can be considered separately or in combination.

5

It will be convenient to hereinafter describe preferred embodiments of the invention with reference to the accompanying drawings. The particularity of the drawings is to be understood as not limiting the preceding broad description of the invention.

10

In the drawings:

Figure 1 illustrates in a cross-sectional side view first embodiments of the roller assembly and motion control device according to the present invention;

Figure 2 illustrates in a cross-sectional side view the motion control device of Figure 1 in another orientation relative to the roller assembly of Figure 1;

Figure 3 illustrates in a cross-sectional side view the motion control device of Figure 1 in yet another orientation relative to the roller assembly of Figure 1;

Figure 4 illustrates in a cross-sectional side view the motion control device of Figure 1 in a further orientation relative to the roller assembly of Figure 1;

Figure 5 illustrates in a cross-sectional side view the motion control device of Figure 1 in a yet further orientation relative to the roller assembly of Figure 1;

Figure 6 illustrates in a cross-sectional side view the motion control device of Figure 1 in a still yet further orientation relative to the roller assembly of Figure 1;

Figure 7 illustrates in a cross-sectional side view the motion control device of Figure 1 in another orientation relative to the roller assembly of Figure 1;

Figure 8 is a perspective view of the motion control device of Figure 1;

Figure 9 is another perspective view of the motion control device of Figure 1;

Figure 10 is a plan view of the frame of the assembly illustrated in Figure 1;

Figure 11 is a sectional plan view of the frame illustrated in Figure 10;  
Figure 12 is a sectional side view of the frame of Figure 11 through section AA;

- Figure 13 is a close-up of a portion of Figure 12;
- Figure 14 is a side view of the frame of Figure 10;
- Figure 15 is a sectional end view of the frame of Figure 10;
- Figure 16 is an end view of the frame of Figure 10;
- 5 Figure 17 is a sectional end view of the roller support of the assembly of Figure 1;
- Figure 18 is a side view of the roller support of Figure 17;
- Figures 19 to 21 are various sectional side views of a second embodiment of a motion control device according to the present invention;
- 10 Figure 22 is an end view of the device illustrated in Figures 19 to 21;
- Figure 23 is a plan view of the device illustrated in Figures 19 to 21;
- Figures 24 to 35 illustrate the roller assembly of Figure 1 and the device of Figures 19 to 21 in various possible arrangements;
- 15 Figure 36 is a plan view of an alternative embodiment of the frame of Figure 1;
- Figure 37 is an end view of the frame of Figure 36;
- Figure 38 is an end view of alternative embodiment of a roller support;
- Figure 39 is an end view of the frame of Figure 37 connected to the roller support of Figure 38;
- 20 Figure 40 is an end view of a roller of Figure 1 when connected to the roller support of Figure 38;
- Figure 41 is an end view of a portion of yet another embodiment of a roller support;
- 25 Figure 42 is an end view of a portion of yet another embodiment of a roller support;
- Figure 43 is a close-up of Figure 42;
- Figure 44 is an end view of the roller support portions illustrated in Figures 41 to 43, together with a roller.
- 30 Referring to Figure 1, there is illustrated a roller assembly 10 for use in live carton storage. A container C (not necessarily to scale) is illustrated in a dashed line format. The assembly 10 includes a longitudinally extending roller support 12. The roller support 12 is provided with a base portion 14 and upstanding wall portions 16,18. The wall portions 16,18 are connected along their lower

longitudinally extending edges 20,22 to respective longitudinally extending edges of the base portion 14. The base portion 14 and wall portions 16,18 are integrally formed to define a longitudinally extending U-shaped channel. (Alternatively, an L-shaped channel could be defined.)

5

Pairs of slots 24 are provided along the length of the wall portions 16,18. Each slot extends downwardly from a respective upper edge 26,28 of the wall portions 16,18. The roller support 12 and the slots 24 are shown in greater detail in Figures 17 and 18.

10

Each of the pairs of slots 24 is provided for receiving an axle 30 of a respective roller 32. It is to be appreciated that the axle 30 of each roller 32 extends axially outwardly beyond the end faces 34 of the roller 32 to facilitate engagement of the axles 30 within the slots 24.

15

It is evident from Figures 1 and 18 that the slots 24 extend downwardly at an angle to vertical, and that adjacent slots 24 are angled in opposite directions.

20

The axles 30 can be removed from the rollers 32. However, it is to be appreciated that the rollers 32 may be connected to the axles 30 to facilitate ease of assembly and disassembly of the assembly 10.

25

A means for retaining the ends of each axle 30 in the respective receiving slots 24 is provided in the form of a frame 34. The frame 34 is separately illustrated in Figures 10 to 16. The frame 34 includes longitudinally extending axle abutment surfaces 36. The surfaces 36 are located along either longitudinal side of the frame 34 and cover the ends of each of the axles 30, thereby limiting the axial displacement of the axles 30 to displacement between the axle abutment surfaces 36. The allowable axle displacement is minimal.

30

The frame 34 includes channels 37 for attaching the frame 34 over the upper edges 26,28 of the wall portions 16,18. The frame 34 includes axle locating lugs 38 (only some of which have been labelled in Figure 11) for

locating the frame 34 in the correct position relative to each of the axles 30 (and rollers 32).

The two longitudinally extending frame channels 37 are interconnected by  
5 way of spans 40.

The assembly 10 of Figure 1 includes four rollers 32. The provision of four  
rollers 32 is for illustrative purposes only. It is to be appreciated that, generally  
speaking, the assembly 10 would include a greater number of rollers 32 in order  
10 for the assembly 10 to support a number of separate containers in lanes one  
behind the other.

The rollers 32 are manufactured from high density plastic, and are  
approximately 24 mm in diameter and 49 mm in axial length. Each of the axles  
15 30 are manufactured from steel, and are approximately 3 mm in diameter and  
77 mm in length. The roller support 12 is manufactured from galvanised steel,  
but could be manufactured from other materials such as plastic. The frame 34 is  
manufactured from high density plastic. The above reference to the various  
component materials and dimensions is provided for illustrative purposes only,  
20 and is in no way intended to limit the invention.

In use, two such assemblies 10 would be arranged in parallel and would  
be spaced apart a sufficient distance to support a container(s) thereon. The two  
assemblies 10 would be inclined at an angle to the horizontal of sufficient  
25 gradient for any containers located on top of the rollers to move at least  
substantially by gravity along the rollers from a replenishment area at one end  
(the upper end) of the assembly 10 to a picking area provided at the other end  
(the lower end) of the assembly 10.

30 Many existing assemblies include a frame configuration that is load  
bearing. This can undesirably lead to assembly failure under heavy loads. It is  
to be appreciated that the present invention has been designed such that the  
frame 34 is substantially non-load bearing. The frame 34 is provided primarily to  
retain the rollers 32 and axles 30 in position relative to the roller support 12.

Referring to Figures 8 and 9, there is illustrated a motion control device 50. The device 50 is pivotally connectable to a roller support 12 by a device axle 52, as illustrated in Figures 1 to 7. The axle 52 is received in pin receiving apertures 54,56. The ends of the device axle 52 are configured for receiving in a pair of the slots 24 in the same manner as the roller axles 30. Consequently, the device axles 52 and the roller axles 30 are substantially identical.

The device 50 is provided for, inter alia, slowly and/or stopping the motion of a container passing over the device 10. In this respect, the device 50 includes three separate contact surfaces 58,60,62. The surfaces 58,60,62 are provided for contact with a container passing across the assembly 10.

As illustrated in Figures 1 to 7, the device 50 can be mounted in any one of several orientations relative to the roller support 12.

In Figure 1, the contact surface 58 is inclined to the plane of the roller contact surfaces 64. In this arrangement, containers passing from right to left over the rollers will be caused to slow down upon contacting the inclined surface 58.

In Figure 2, the contact surface 58 is maintained at a steeper gradient when compared to the orientation illustrated in Figure 1. The device 50 is maintained at this angle by surface 60 resting upon the roller 32 provided at the left-hand end of the assembly 10. It is to be appreciated that the orientation of the surface 58 in Figure 2 would tend to slow a container passing from right to left across the rollers 32 more than the arrangement in Figure 1. This is due to the fact that the surface 58 is provided at a greater angle relative to the plane 64 in the arrangement of Figure 2 when compared with that of Figure 1.

30

Figure 3 illustrates the device 50 arranged such that the surface 58 is orientated in substantially the same plane as the roller contact surface 64.

Figure 4 illustrates the device 50 being pivoted relative to the roller support 12.

Rather than being used to slow the movement of containers across the rollers 32, the device 50 can instead be used as an end stop to prevent the further movement of containers beyond a certain point. This is illustrated by the 5 embodiments illustrated in Figures 5 to 7.

In Figure 5, containers (not illustrated) moving from right to left across the rollers 32 impact with contact surface 58, thereby being prevented from further movement.

10 In Figure 6 the containers moving from right to left across the rollers 32 impact with contact surface 60, thereby being prevented from further movement. In Figure 5, the lower end of the device 50 rests on the upper surface of the base 14. In Figure 6, the device 50 rests against the frame 34.

15 In Figure 7, the containers moving from right to left across the rollers 32 impact with contact surface 62, thereby being prevented from further movement.

20 Figures 19 to 23 illustrate an alternative embodiment of a motion control device 50a according to the present invention. The device 50a is pivotally connectable to a roller support 12 by a device axle 52a, as illustrated in Figures 24 to 35. The device 50a includes pin receiving apertures 54a,56a, and three separate contact surfaces 58a,60a,62a. The device 50a is very similar in construction and function to the device 50 illustrated in Figures 1 to 9, and so 25 the discussion of the device 50 is also applicable to the discussion of the device 50a.

30 In Figures 36 and 37 a frame segment 70 is illustrated. Each assembly 10 would include a plurality of the segments 70 arranged to define a ladder-type structure akin to the frame 34 illustrated in Figures 1 to 7. The segment 70 includes a cross-span 72 integrally formed with two parallel lips 74 for engaging in the channels 76 provided in the roller support 12a illustrated in Figures 38 to 40. The channels 76 also accommodate axles 30 of rollers 32.

Referring to Figures 41 to 43, an alternative roller support 12c is illustrated. The support 12c includes a steel base 14c. The base 14c engages with two longitudinally extending, extruded plastic wall portions 16c,18c, as illustrated. Each of the roller axles 30 would be separated from adjacent roller axles 30 along the wall portions 16c,18c by a frame segment 70 (see Figures 36 and 37). Both the lips 74 of the frame segments 70, and the roller axles 30 are received in channels 76c.

The roller assembly 10 and motion control device 50 of the present invention are of simplified designs when compared to existing equivalents. Consequently they are more cost effective to manufacture, and easier to assemble and disassemble when compared to existing devices.

The frame 34 of the present invention is substantially non-load bearing, meaning that it is less likely to fail when compared to existing equivalents.

Also, the rollers 32 of the present invention are easily removed from the assembly 10 should maintenance or replacement be necessary.

Finally, the range of possible uses for the motion control device 50 is greater than the range of possible uses of existing equivalents.

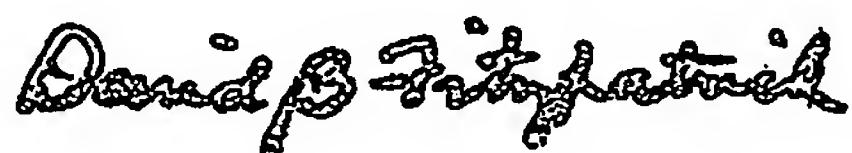
Finally, it is to be understood that various alterations, modifications and/or additions may be introduced into the construction and arrangement of the parts previously described without departing from the spirit or ambit of this invention.

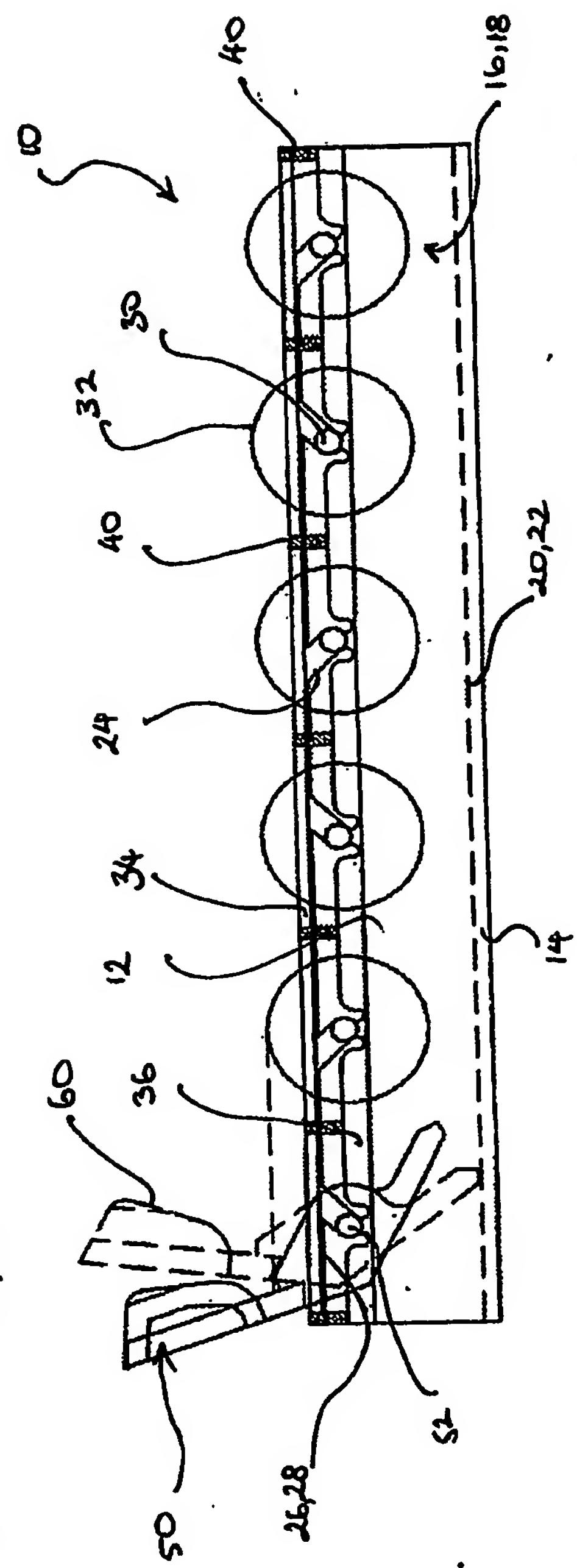
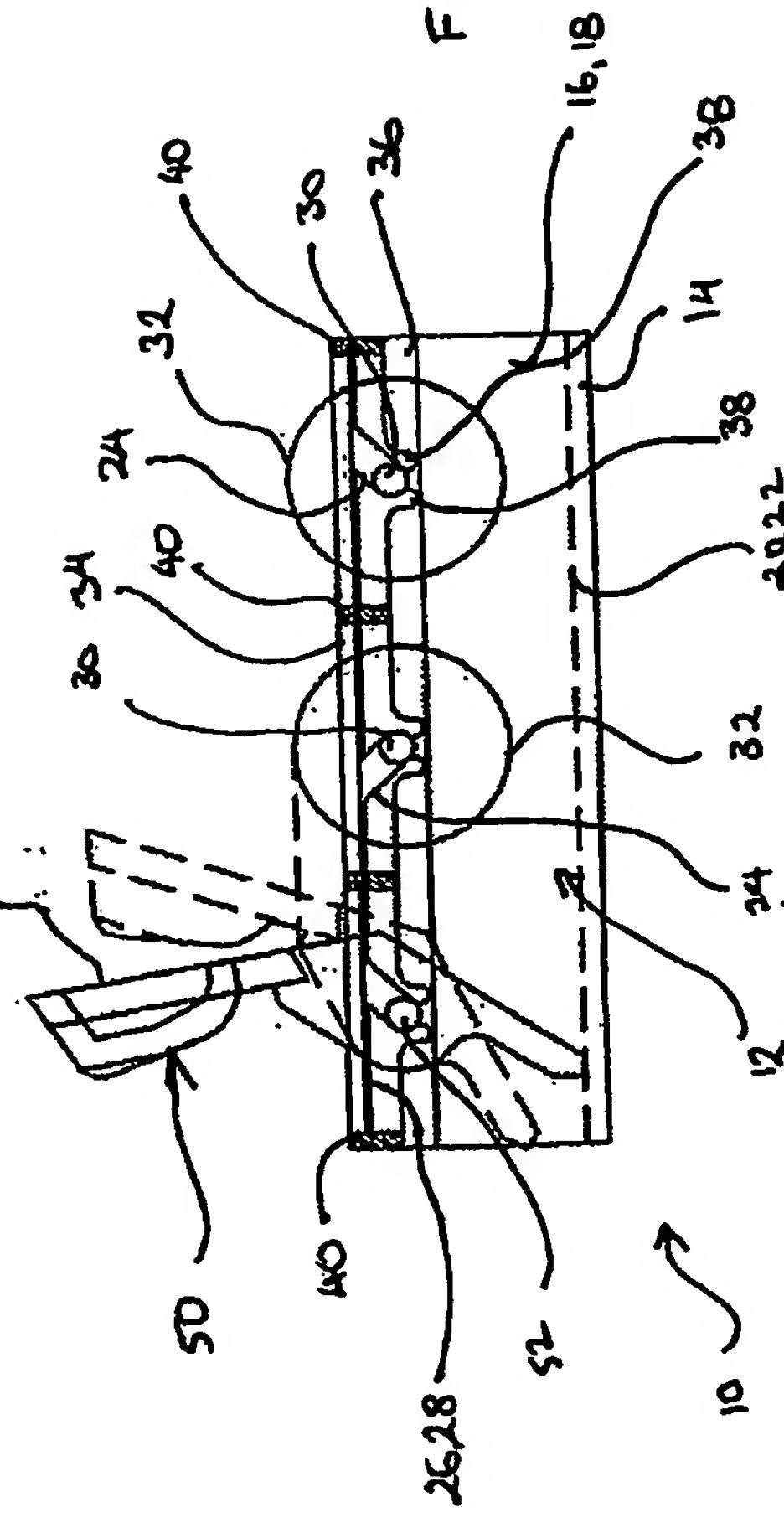
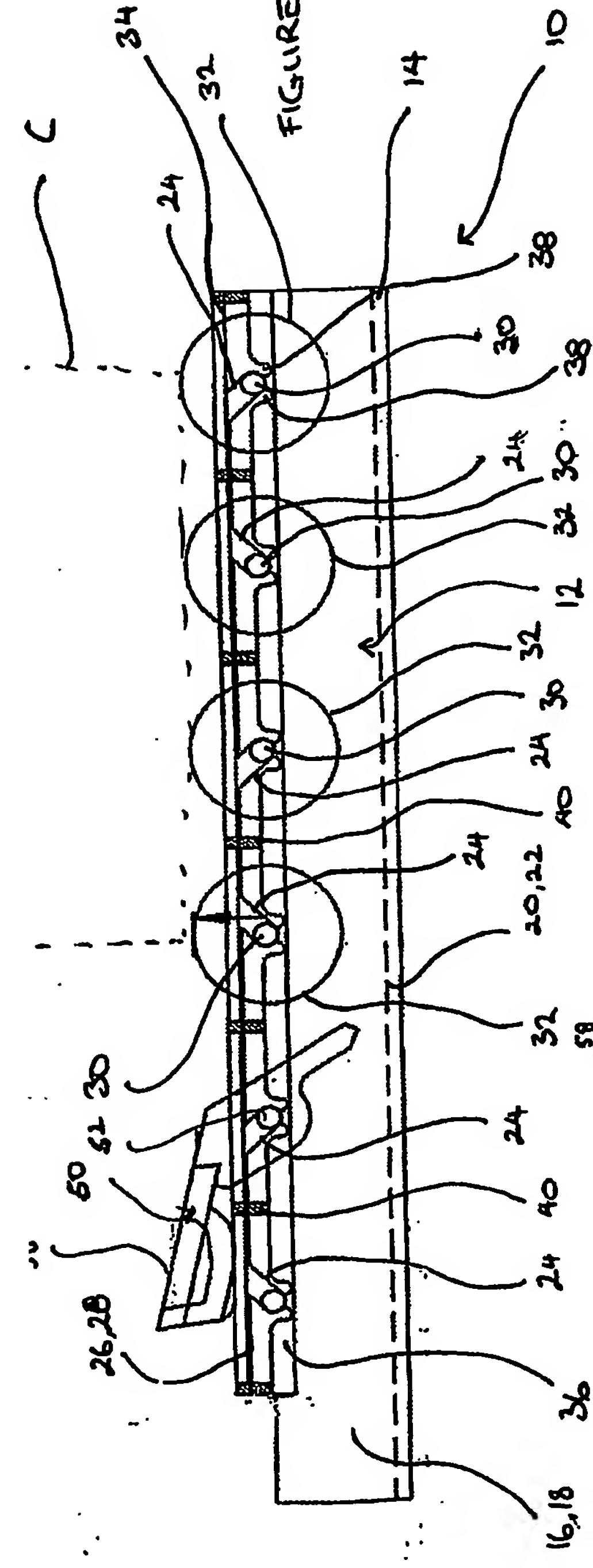
DATED: 17 June 2003

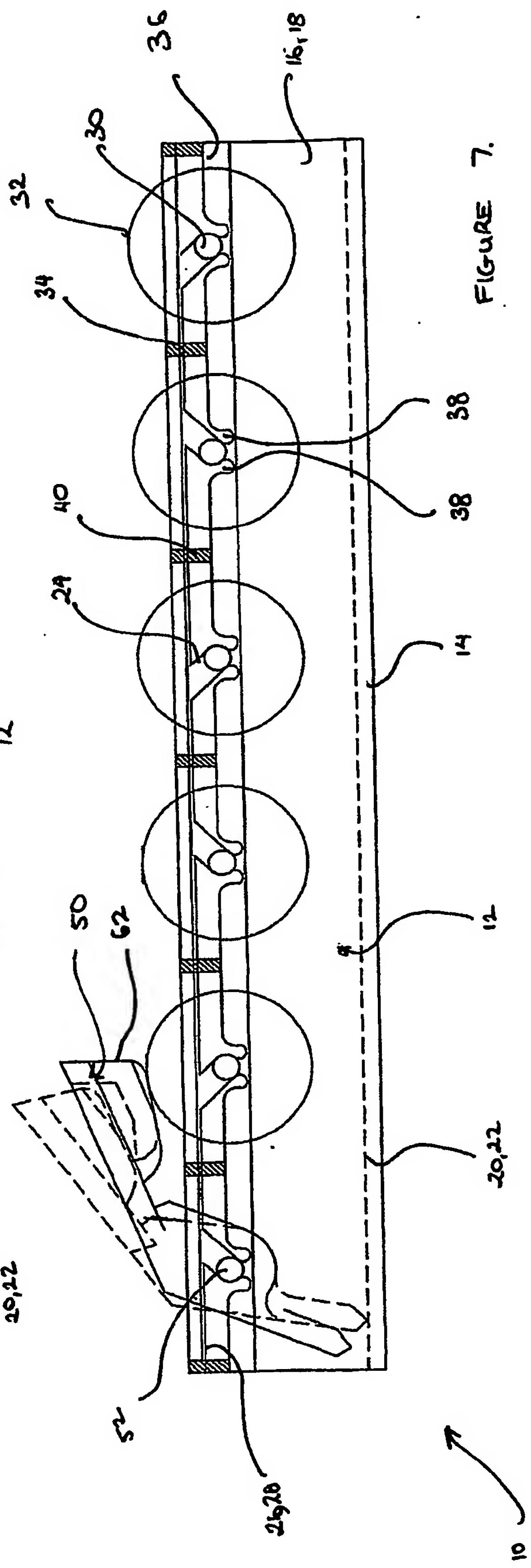
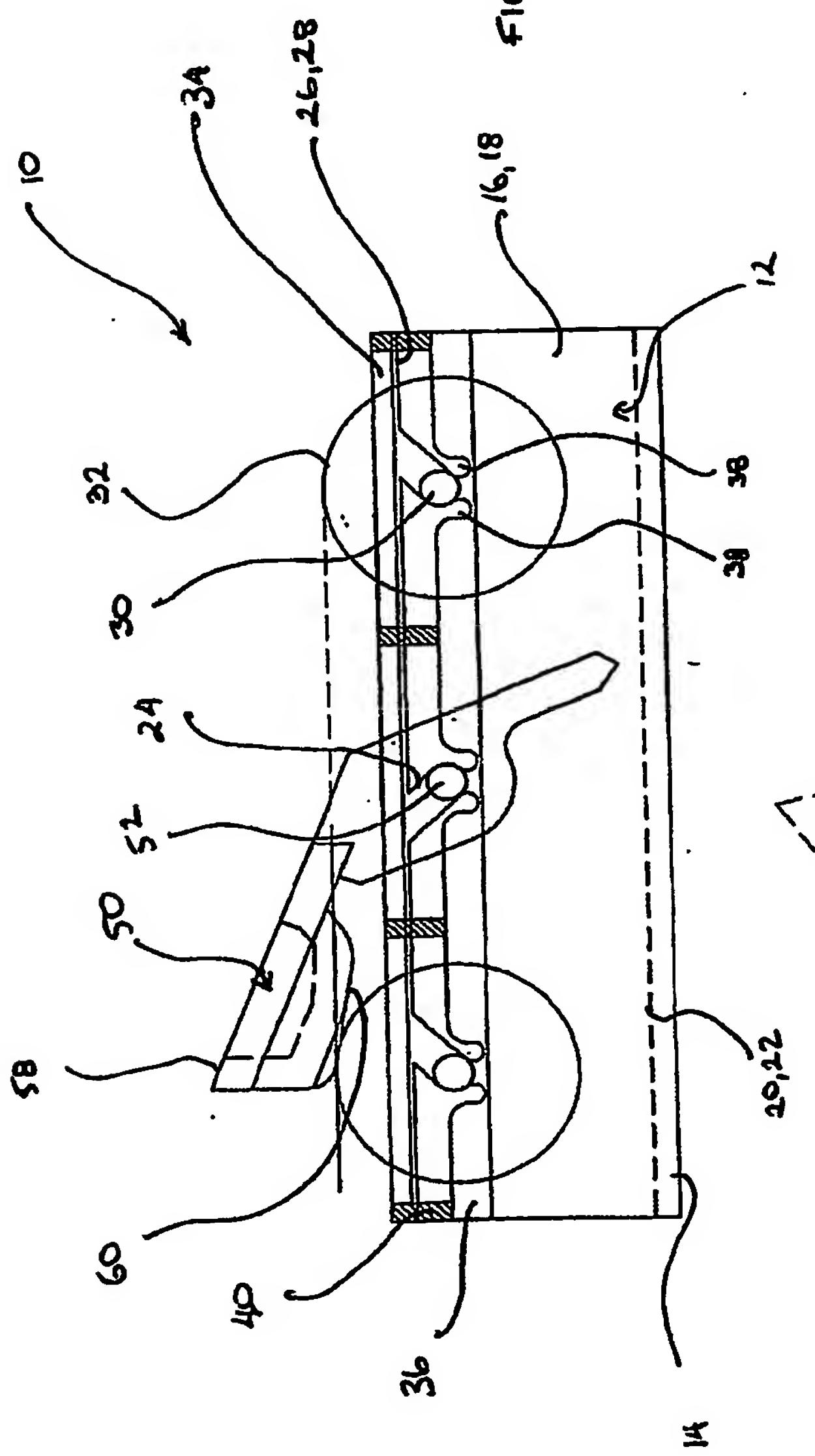
PHILLIPS ORMONDE & FITZPATRICK

Attorneys for:

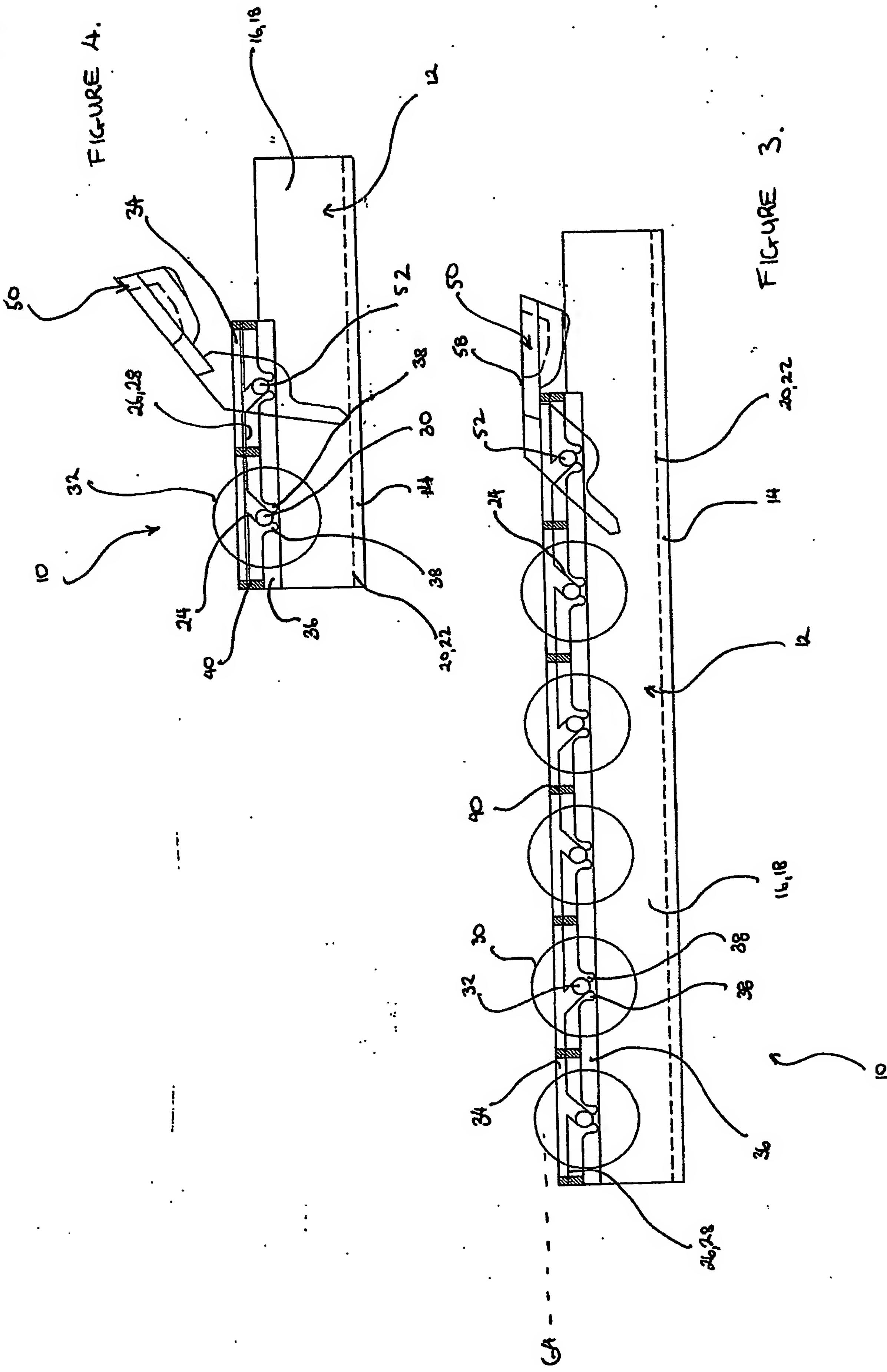
ROLLER TRACK AUSTRALIA PTY LTD







#### Figure 4.



### FIGURE 3.

FIGURE 8.

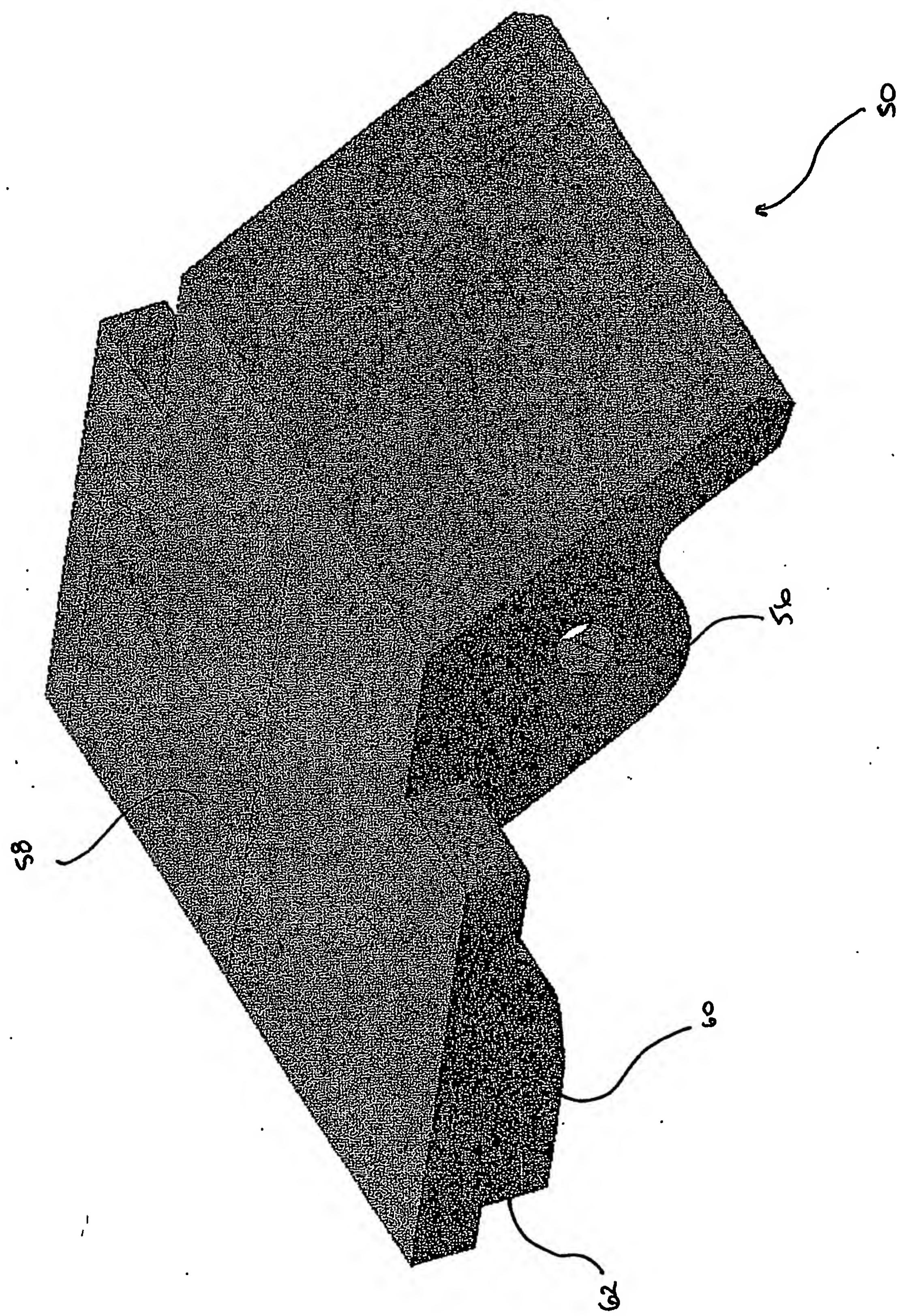
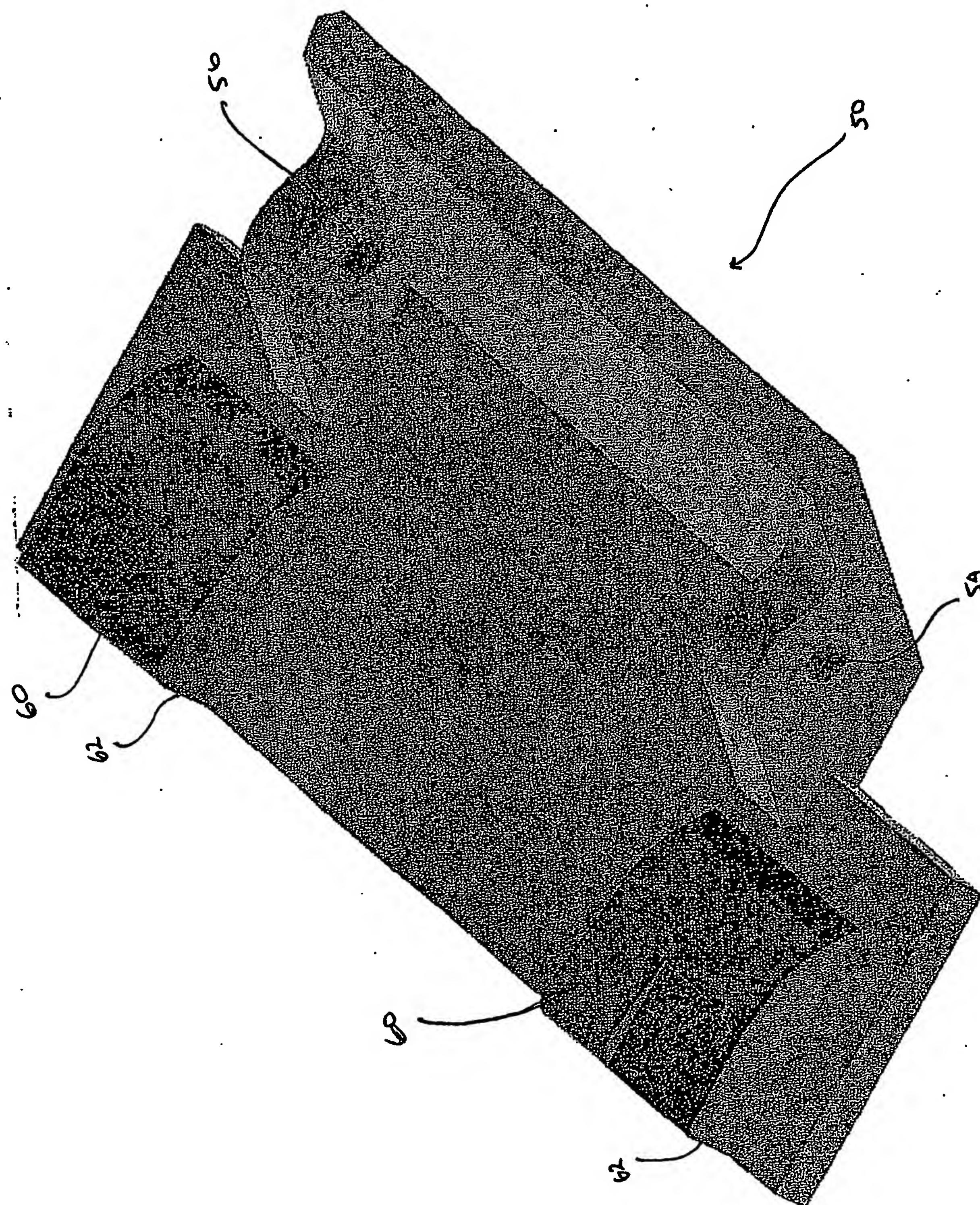


FIGURE 9.



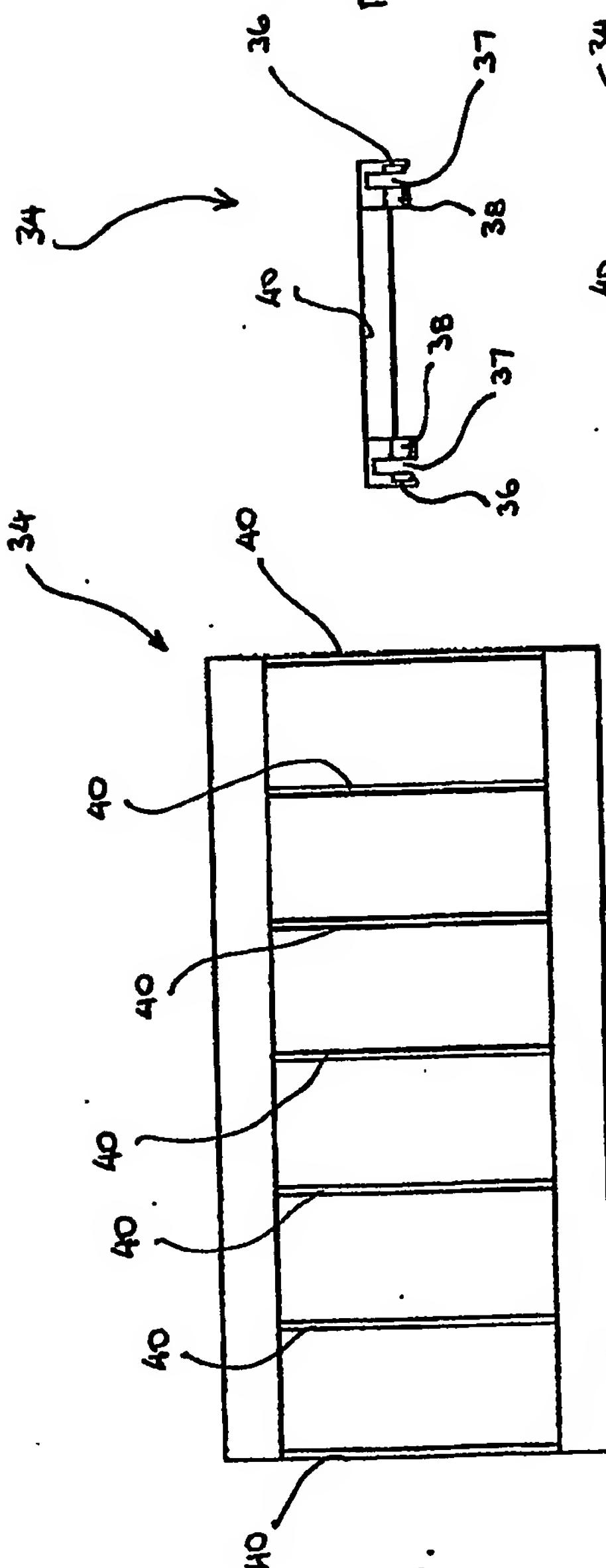


FIGURE 10.

FIGURE 15.

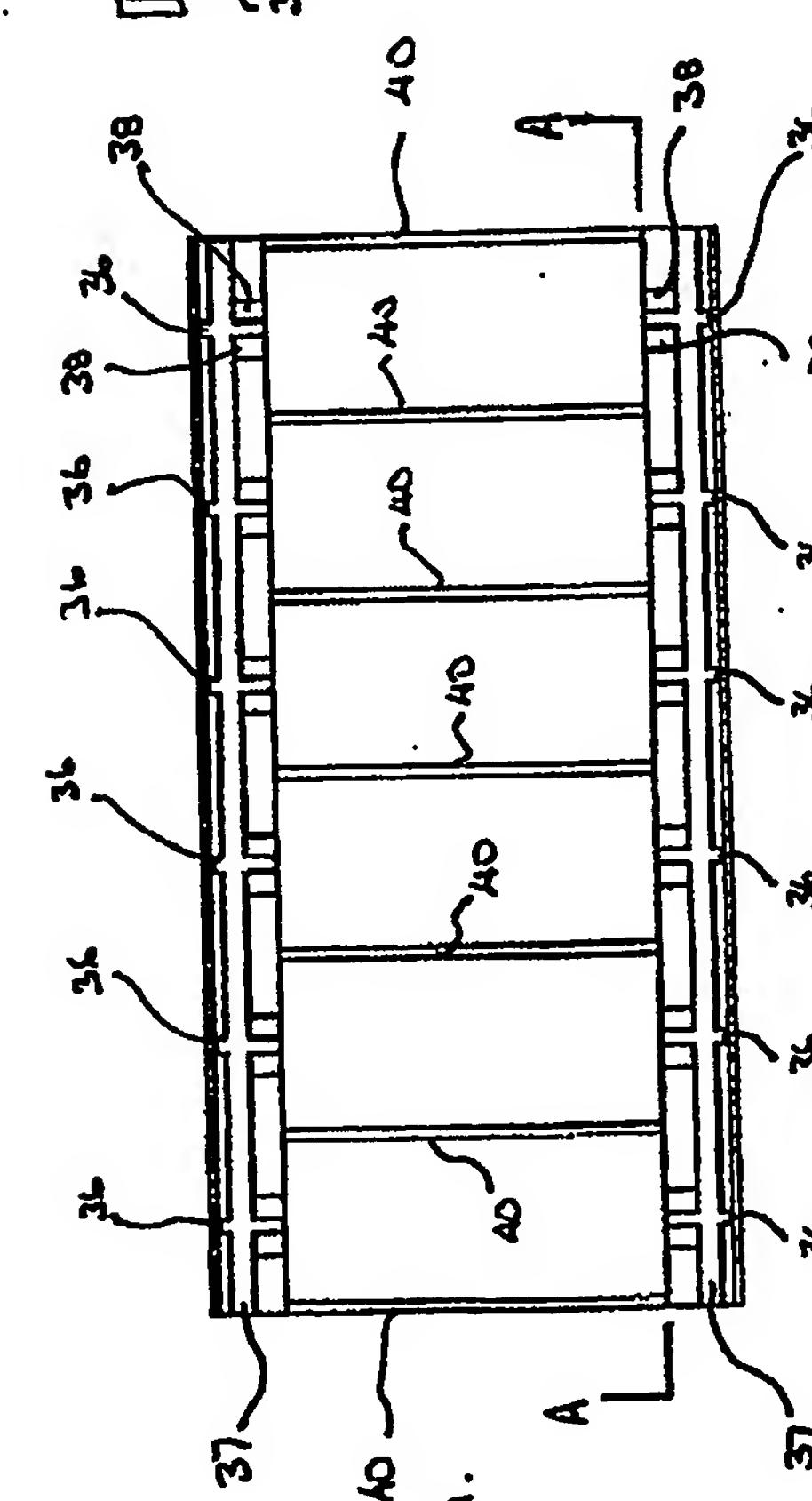


FIGURE 11.

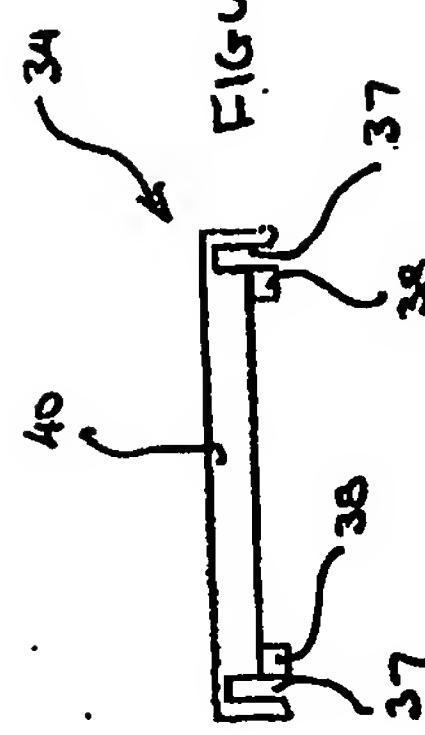


FIGURE 12.

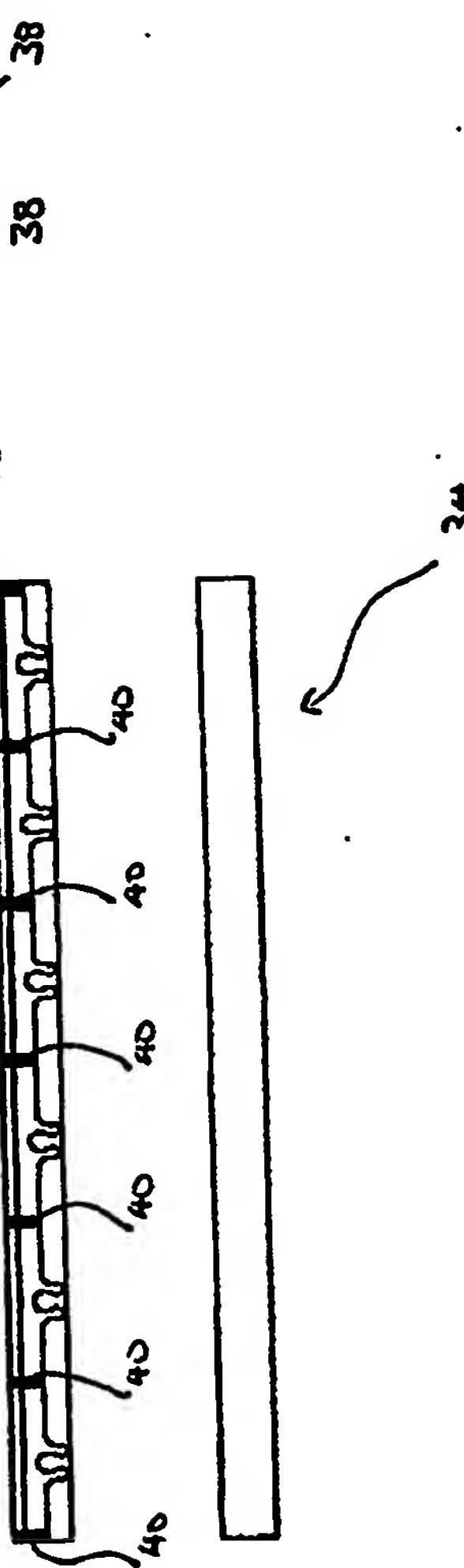


FIGURE 13.

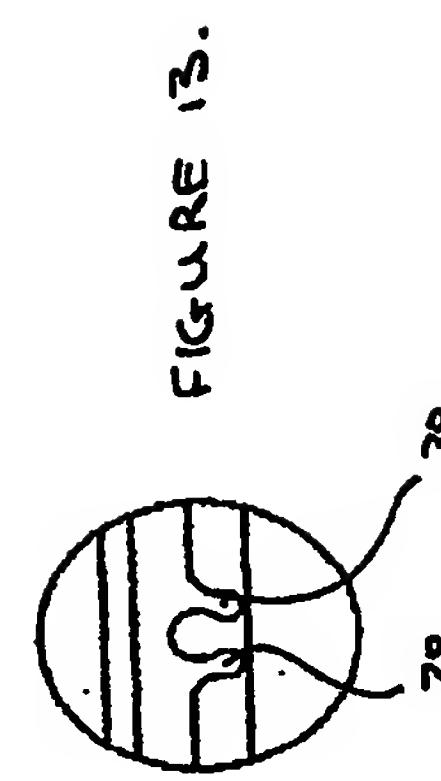
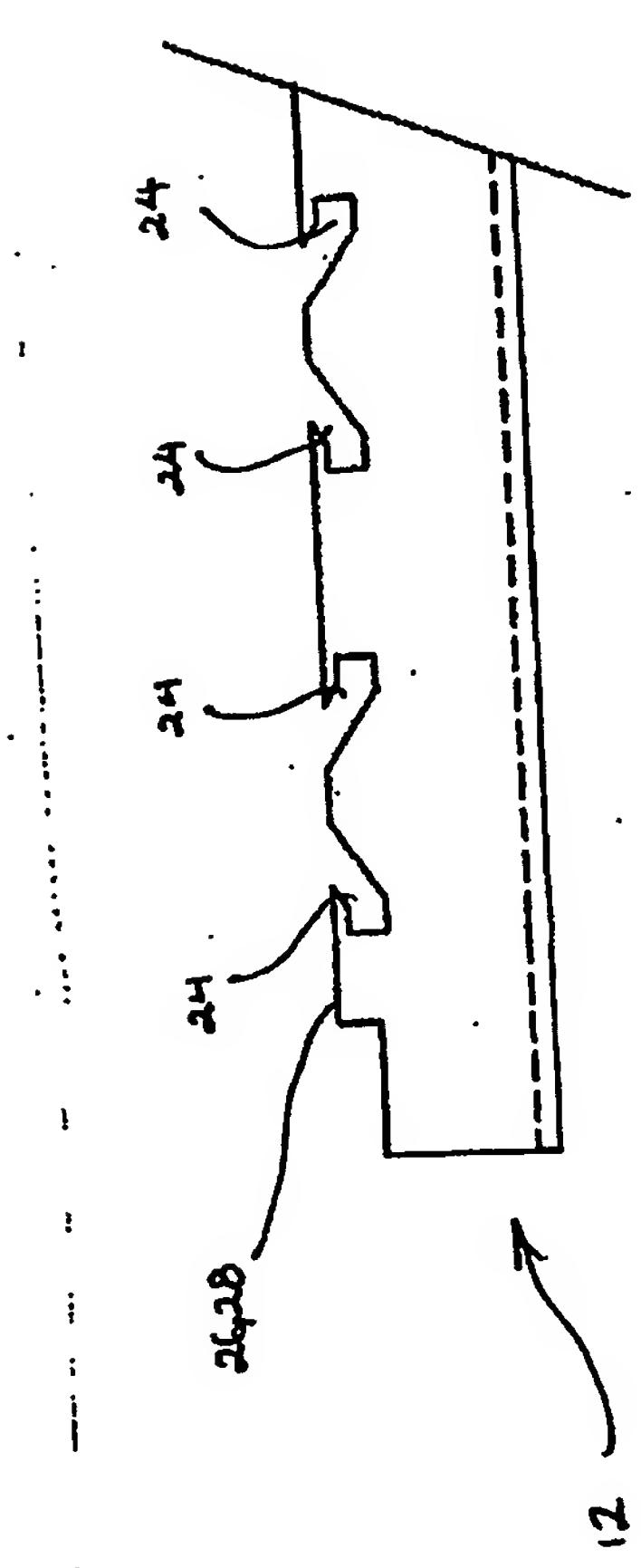
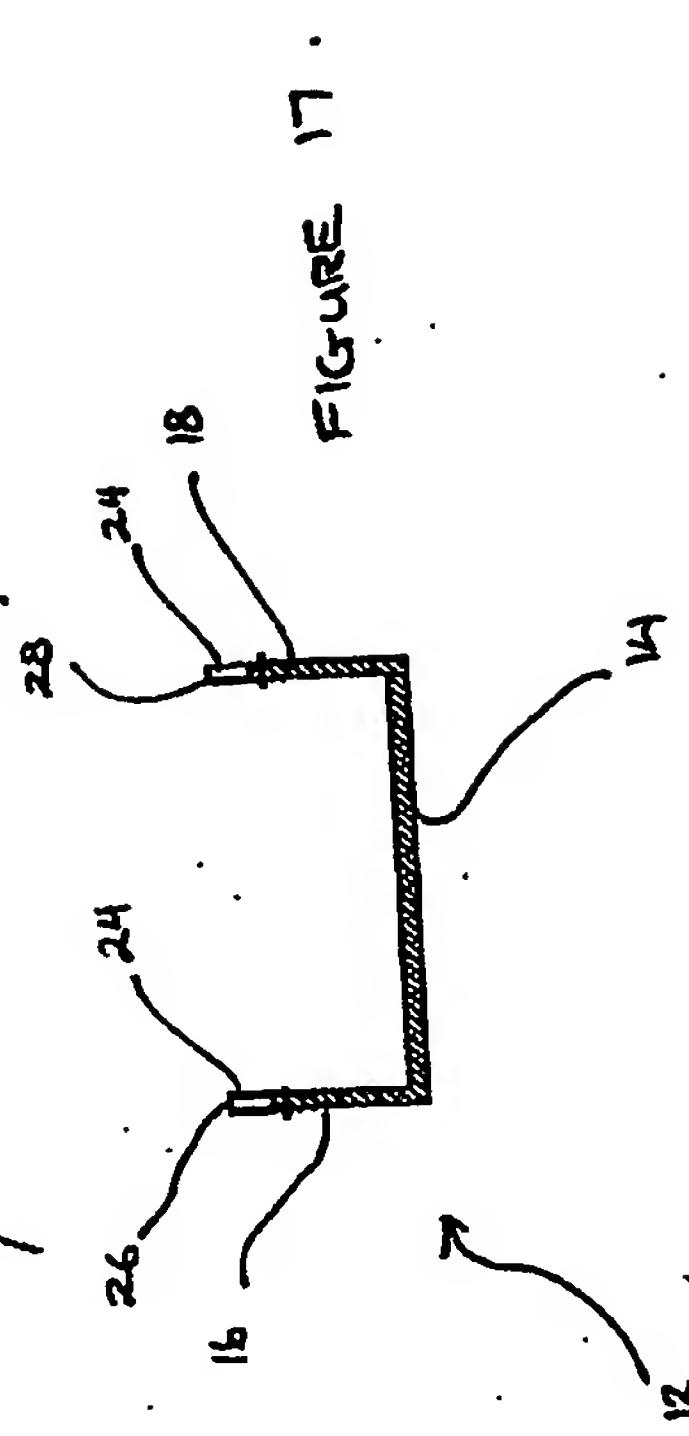
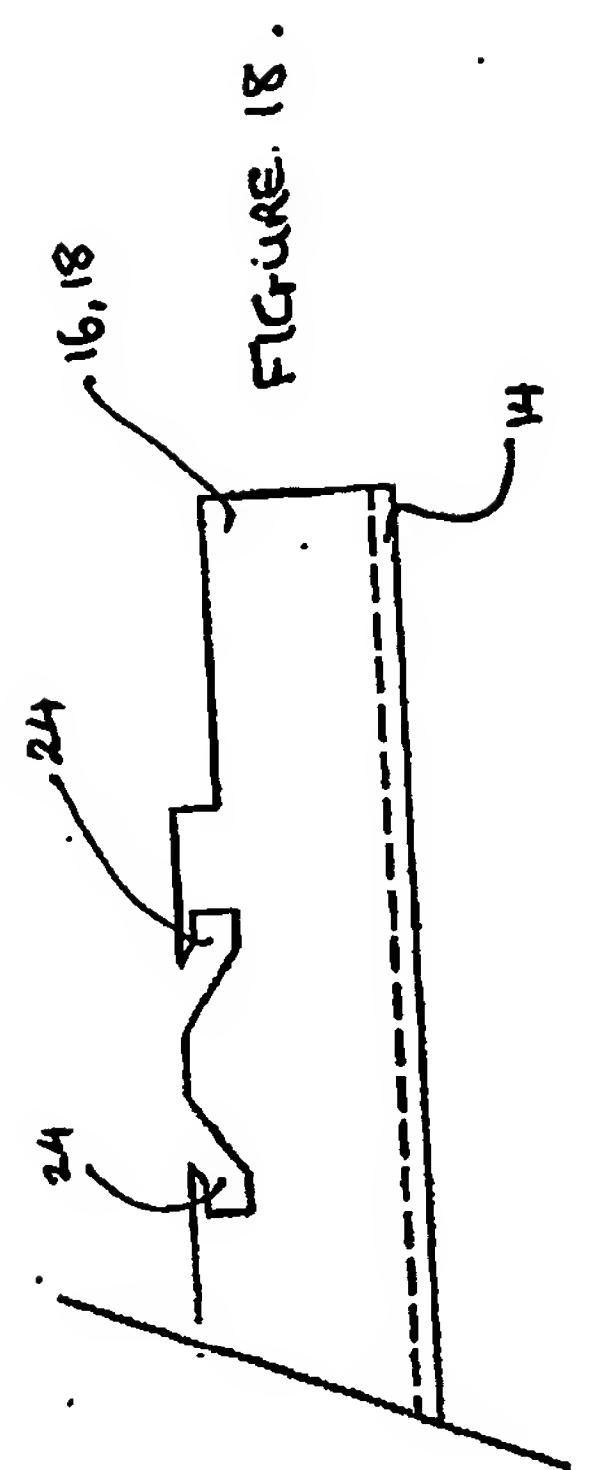


FIGURE 14.

FIGURE 15.

FIGURE 16.



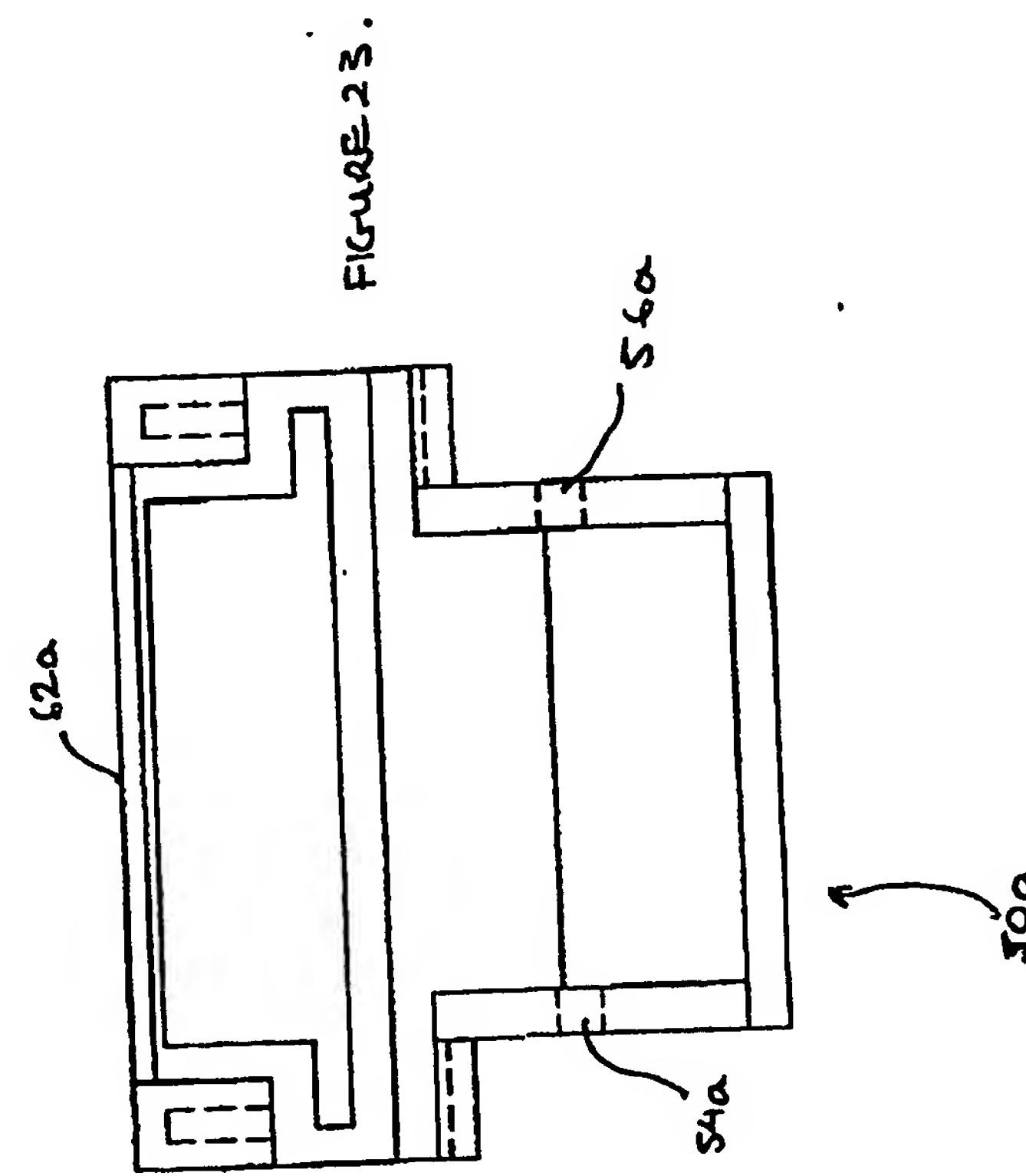
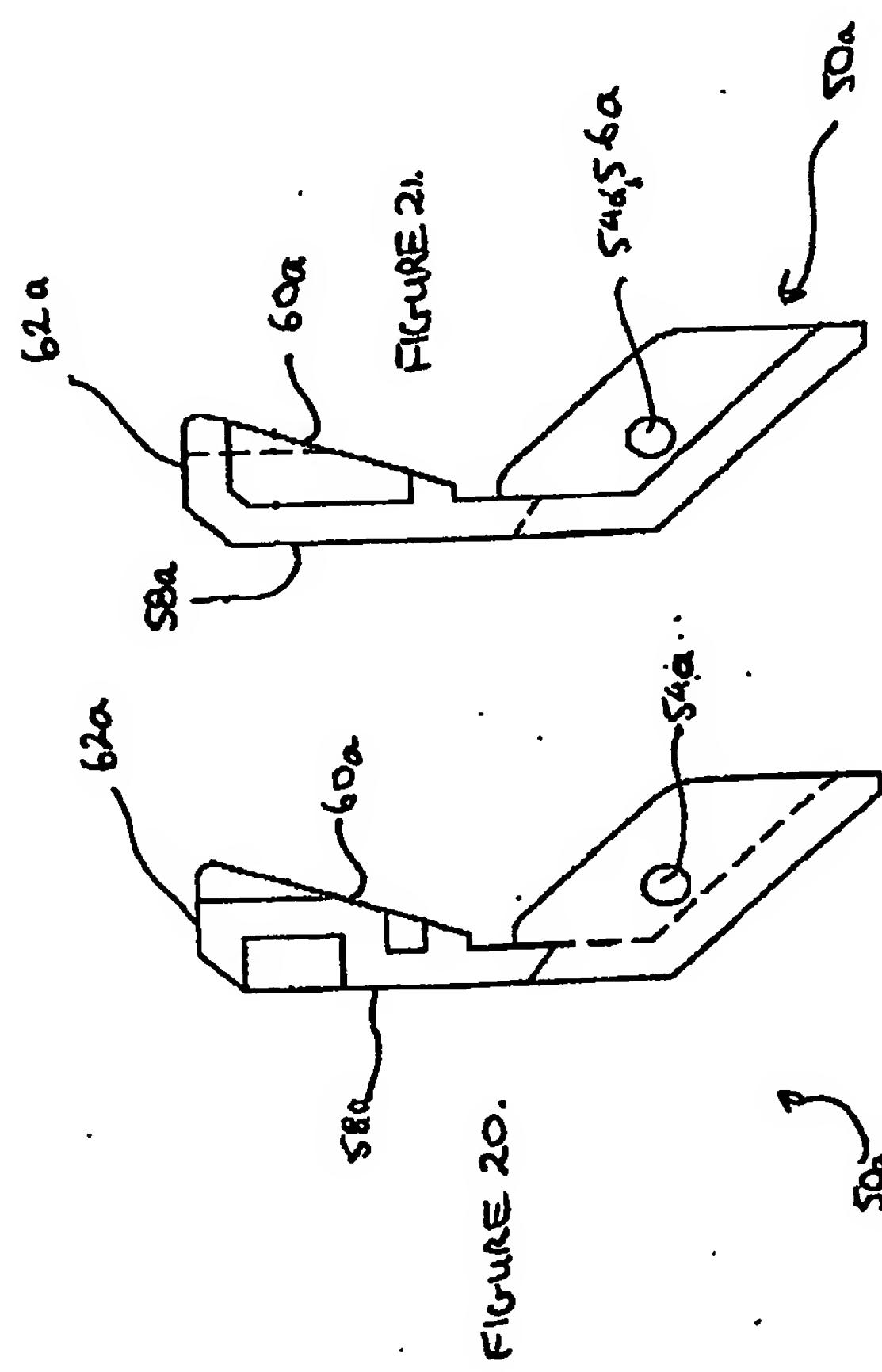
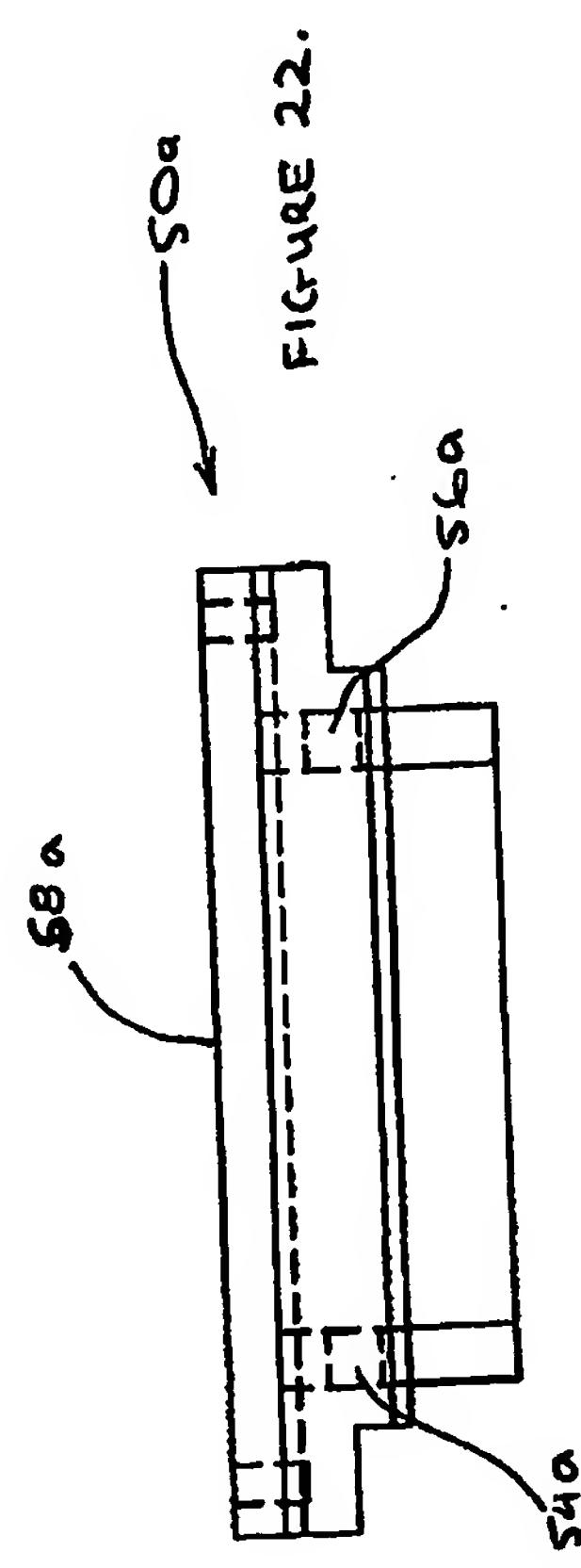
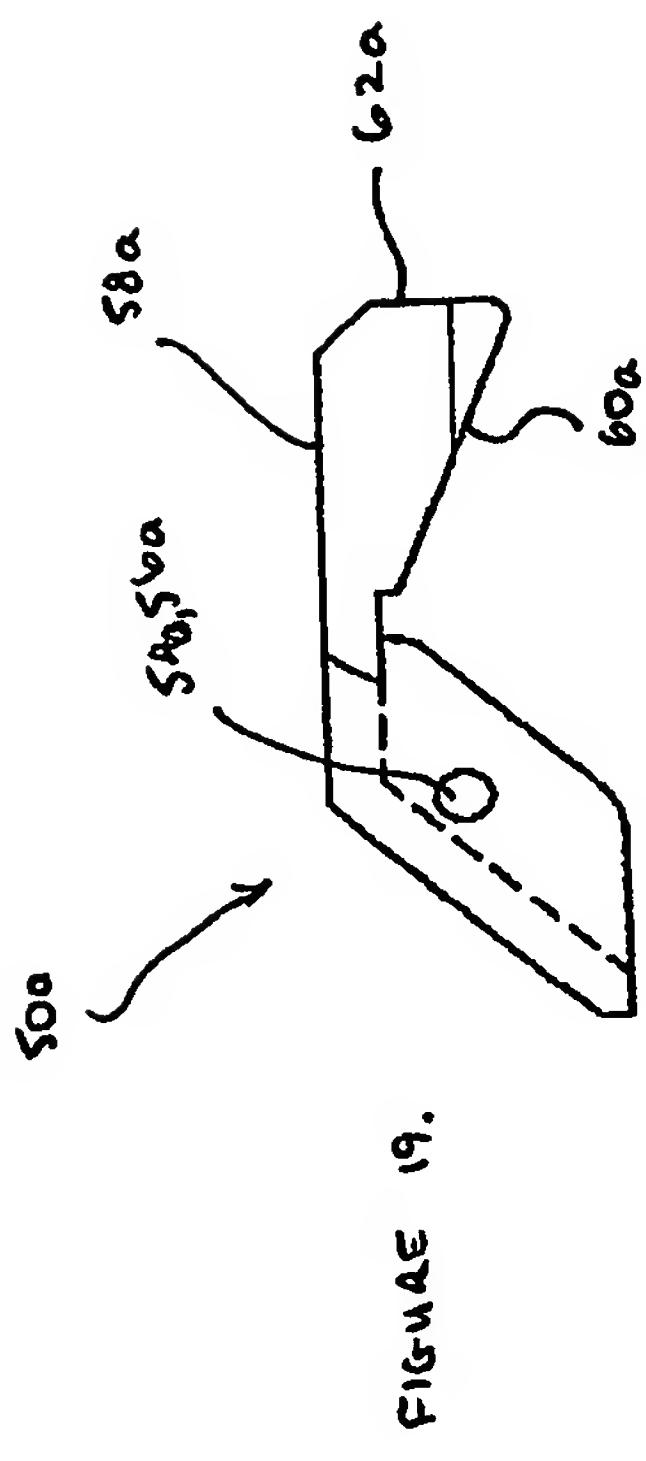


FIGURE 25.

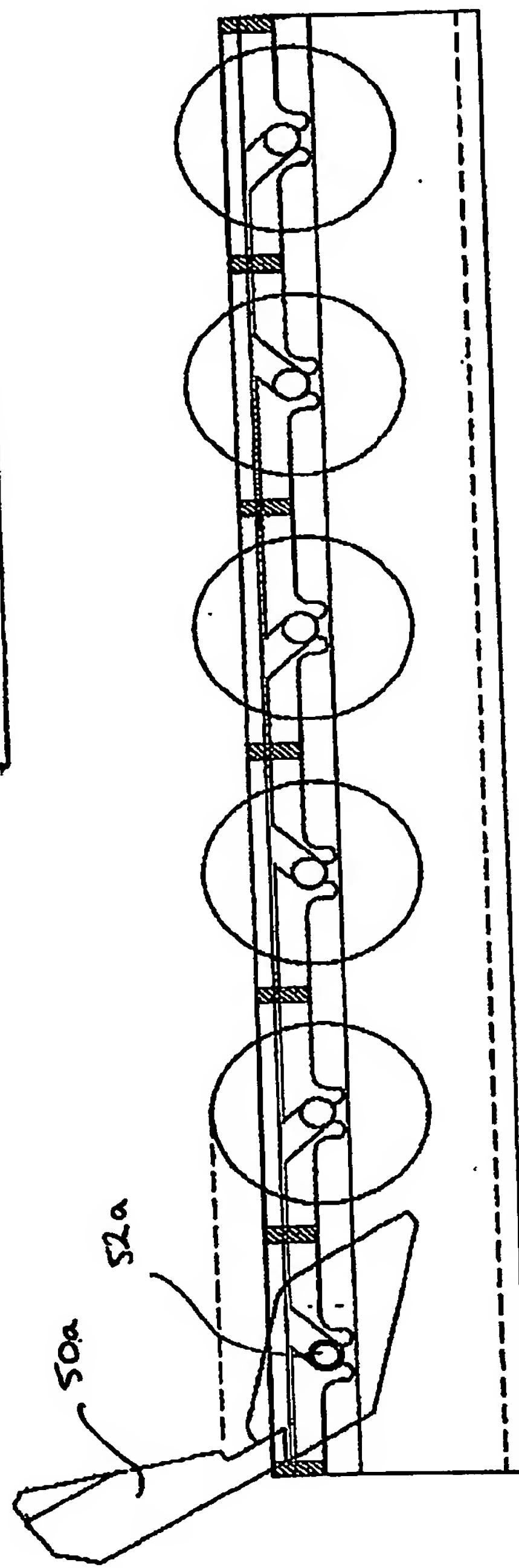
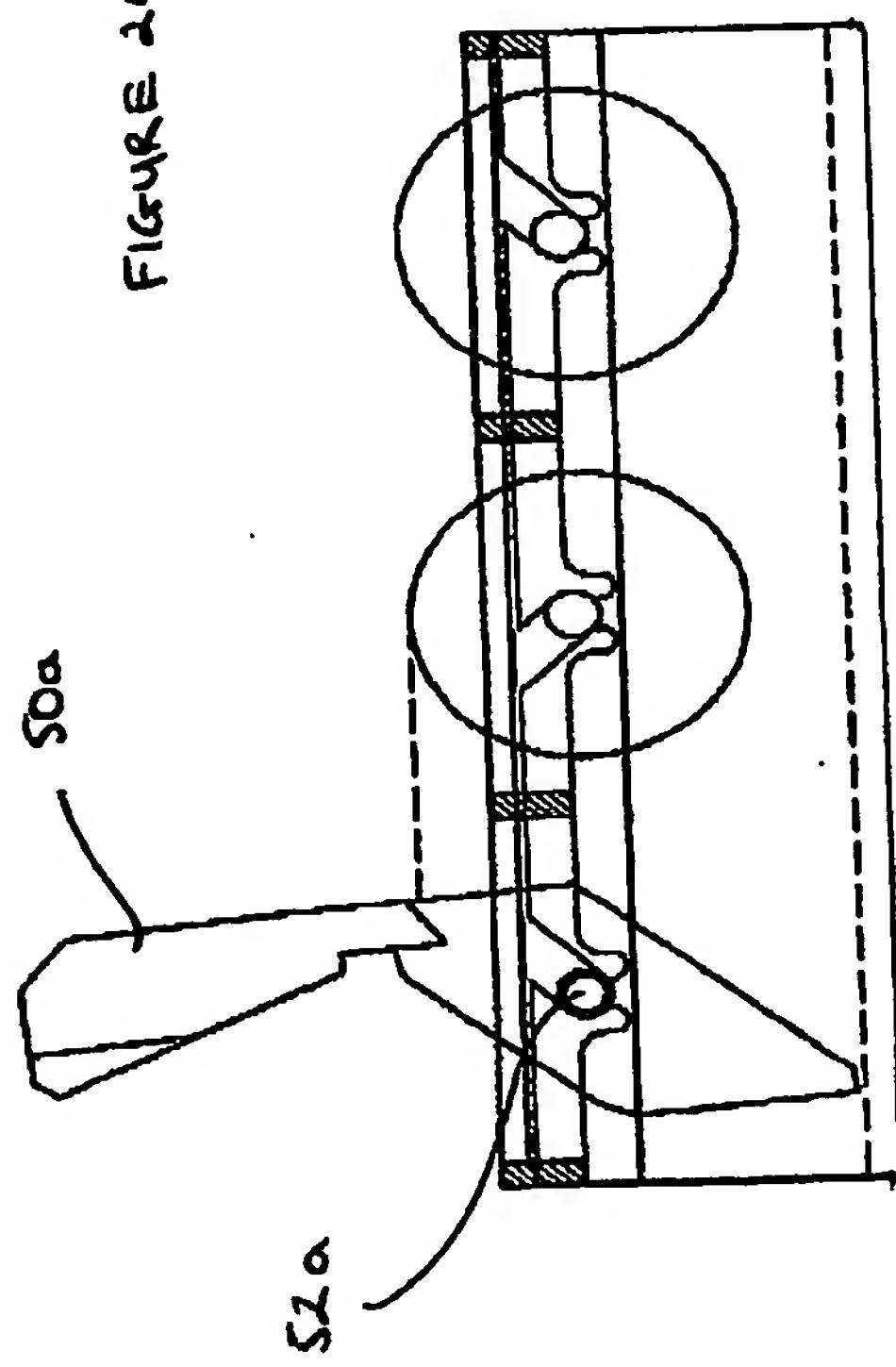


FIGURE 24.



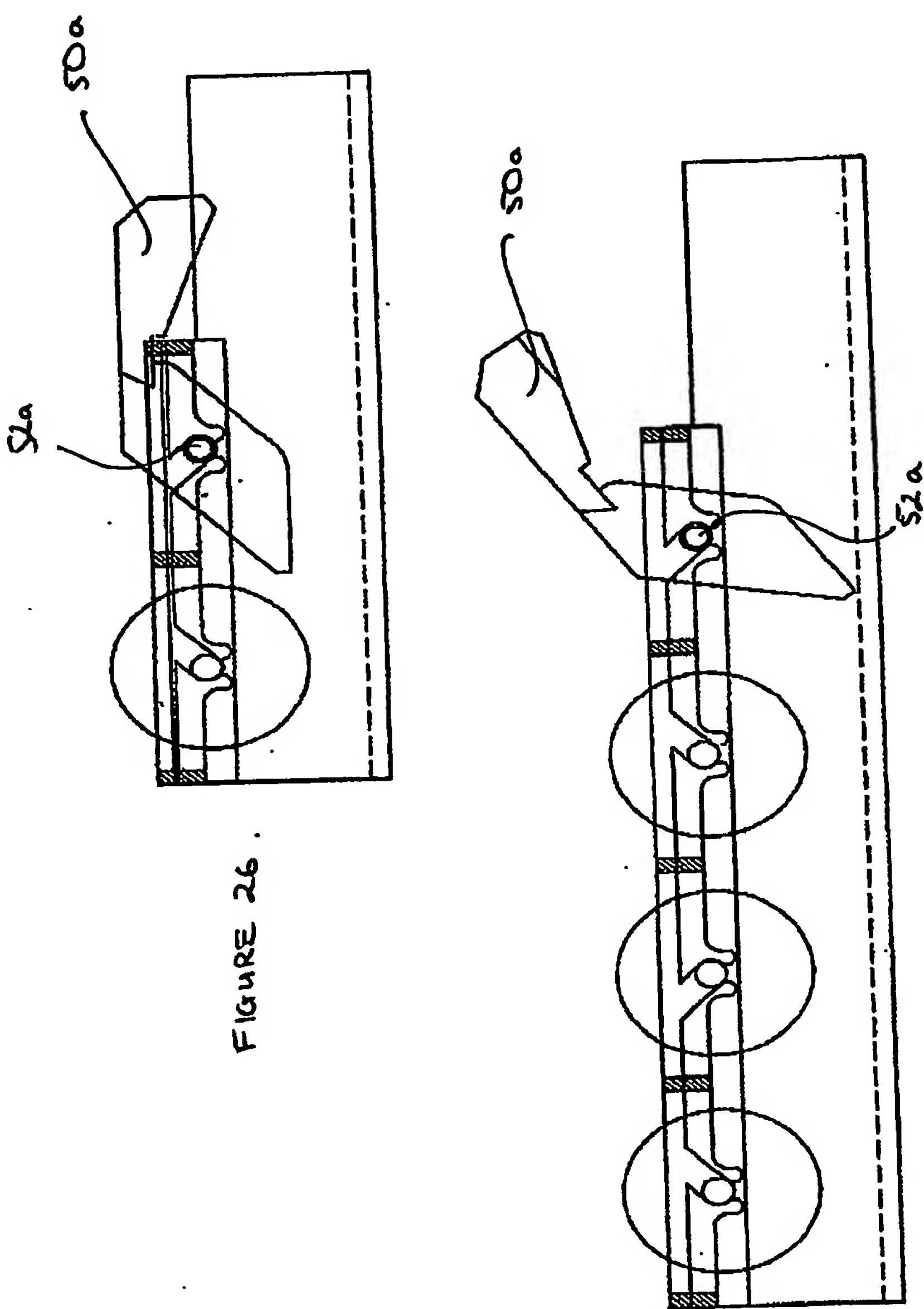


FIGURE 26.

FIGURE 27.

FIGURE 28.

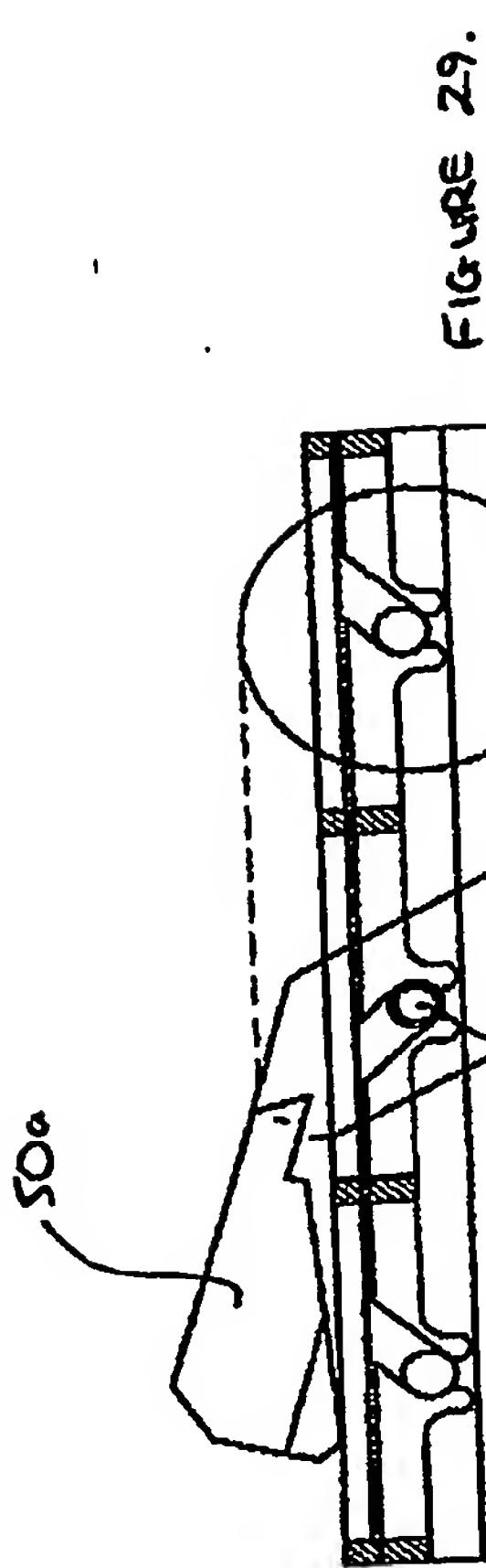
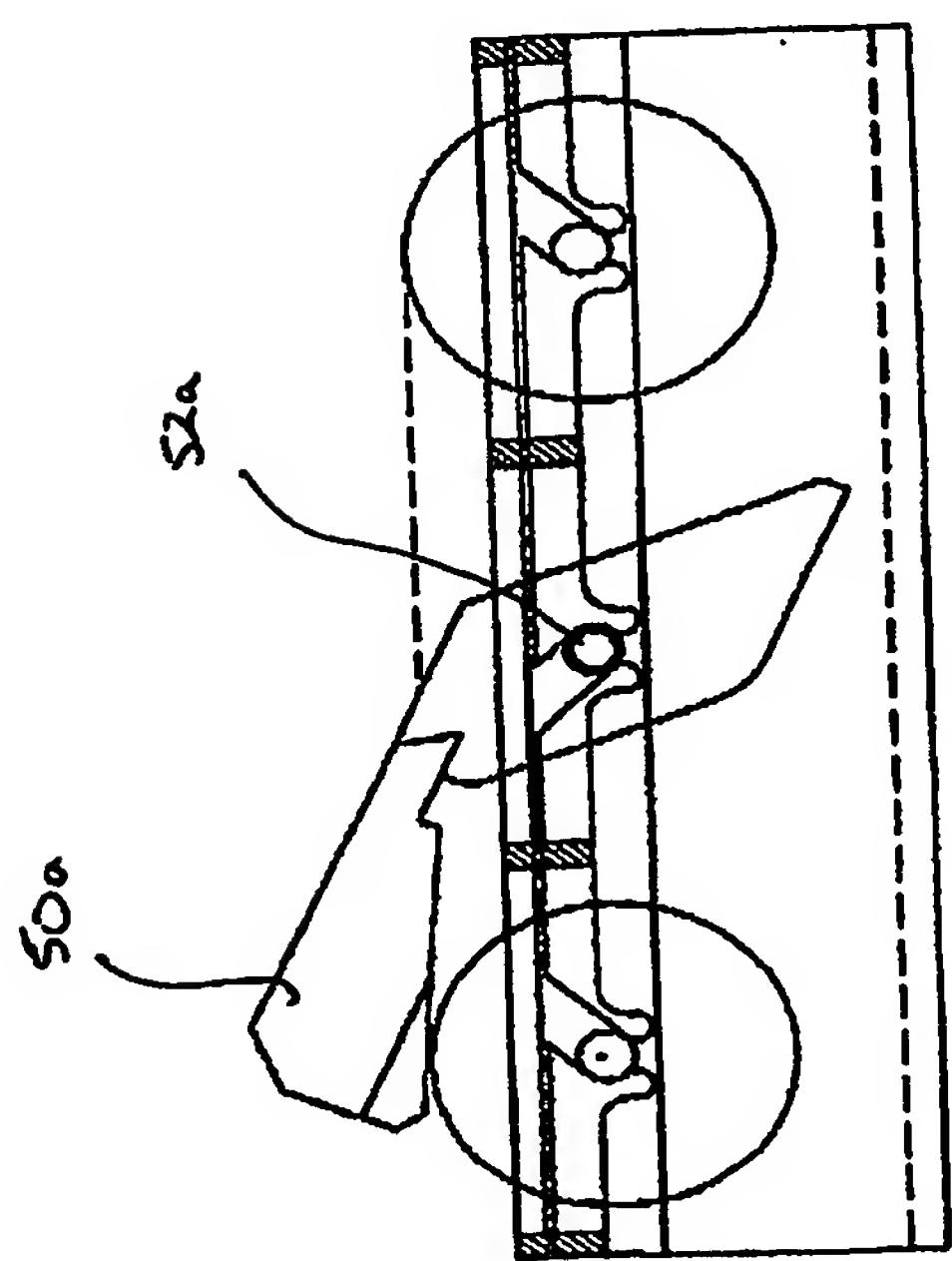
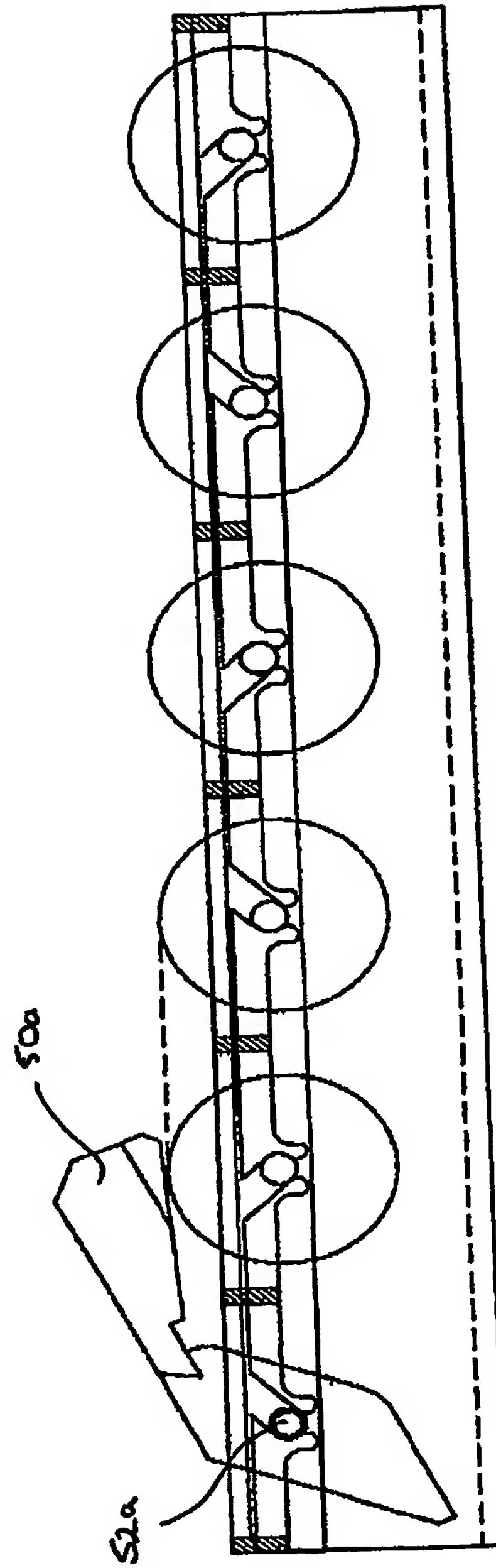


FIGURE 30.



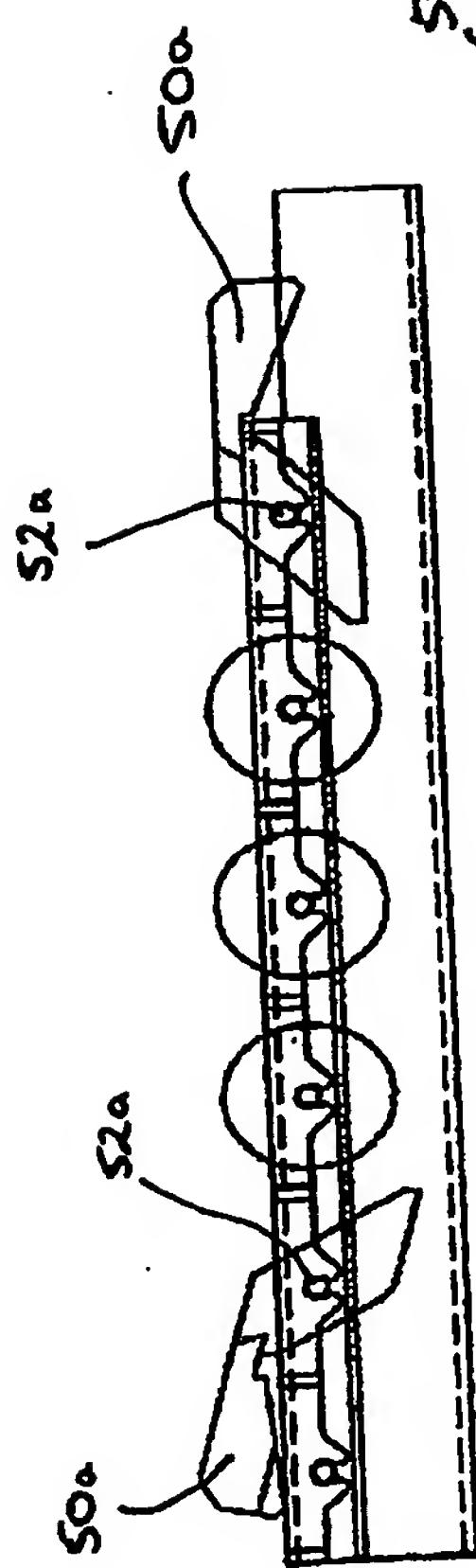


FIGURE 31.

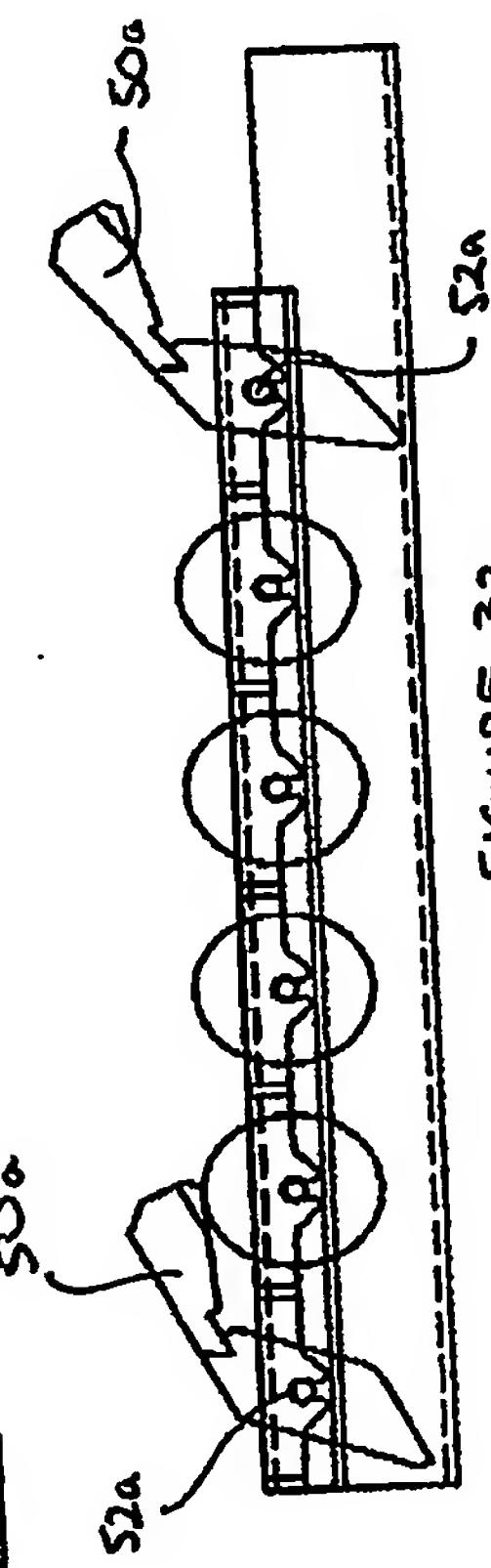


FIGURE 32.

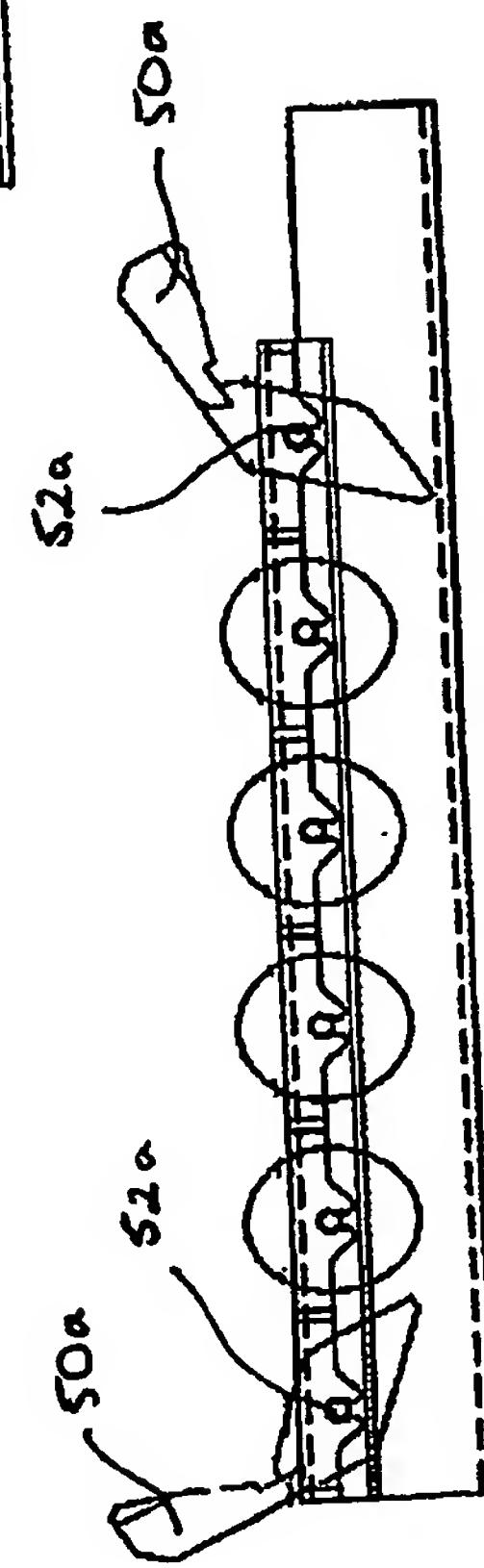


FIGURE 33.

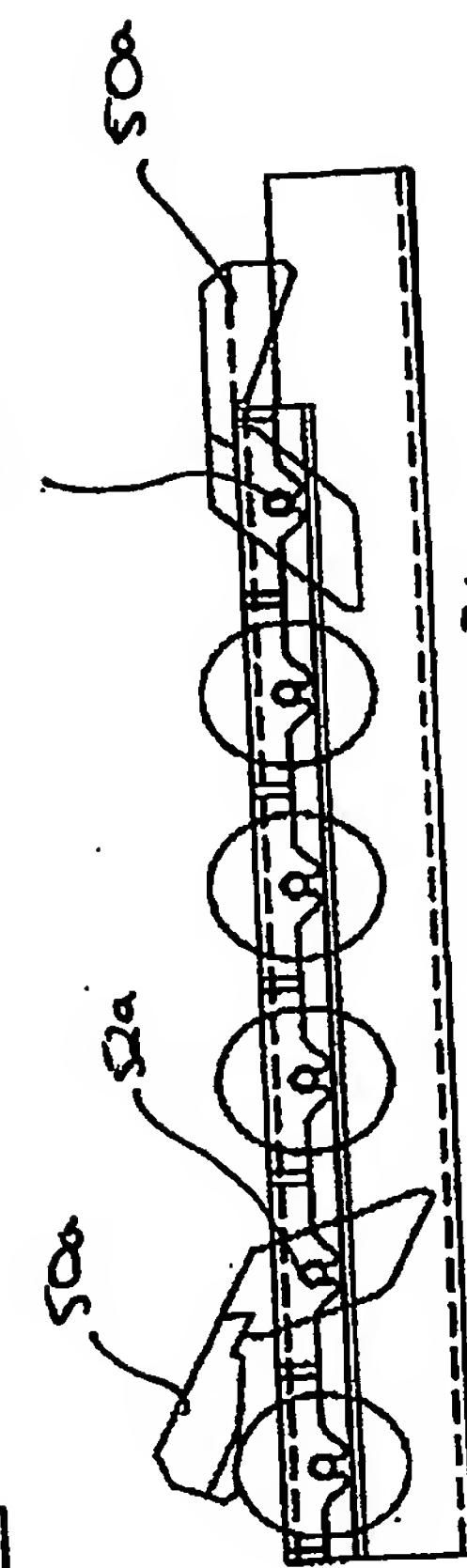


FIGURE 34.

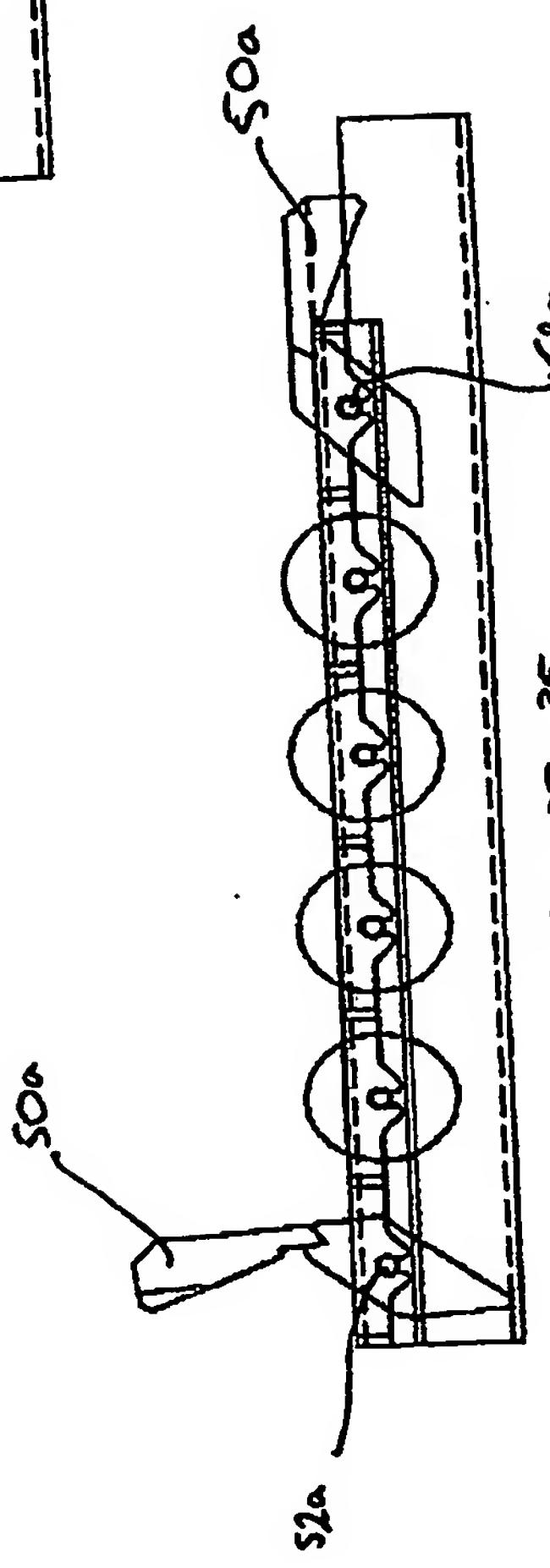


FIGURE 35.

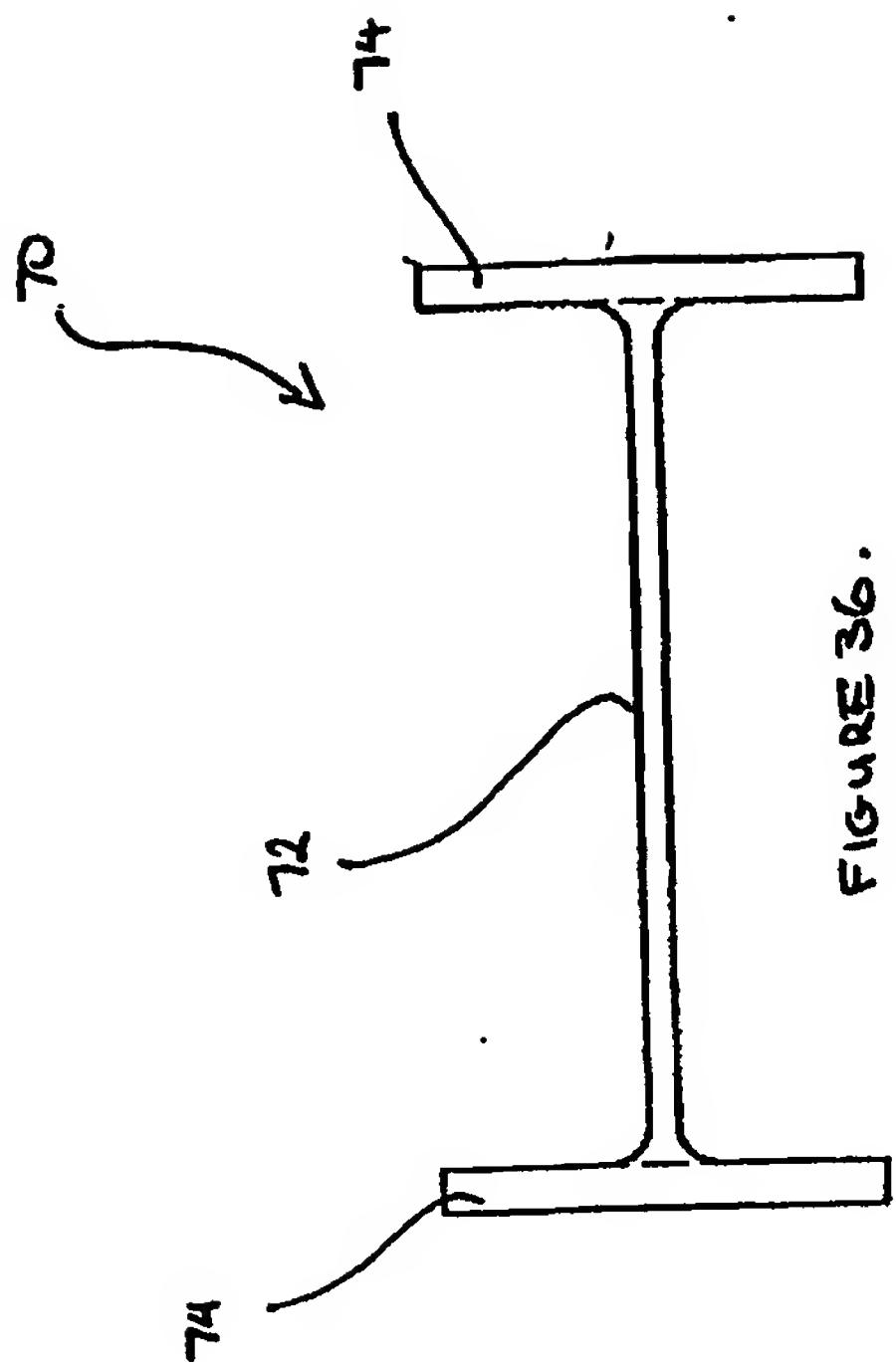


FIGURE 36.

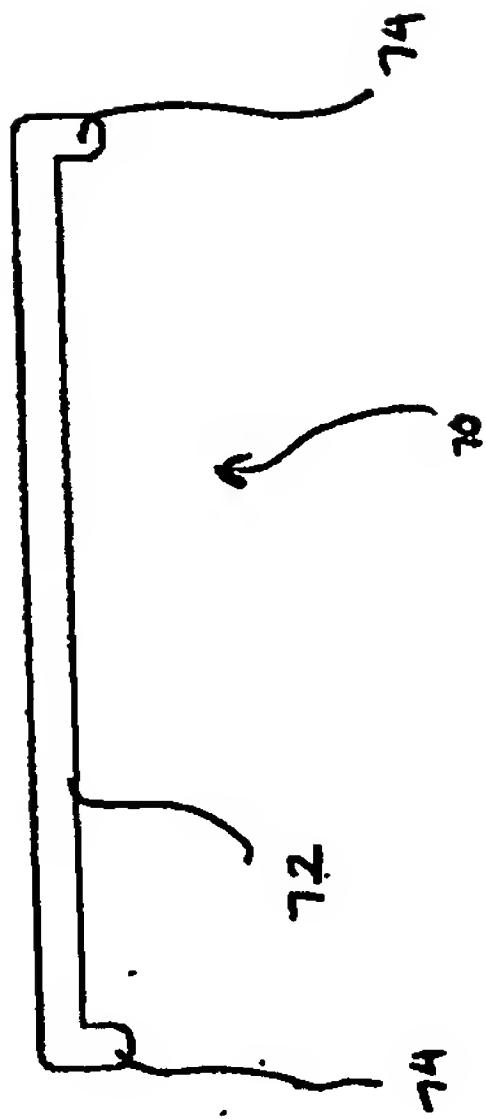


FIGURE 37.

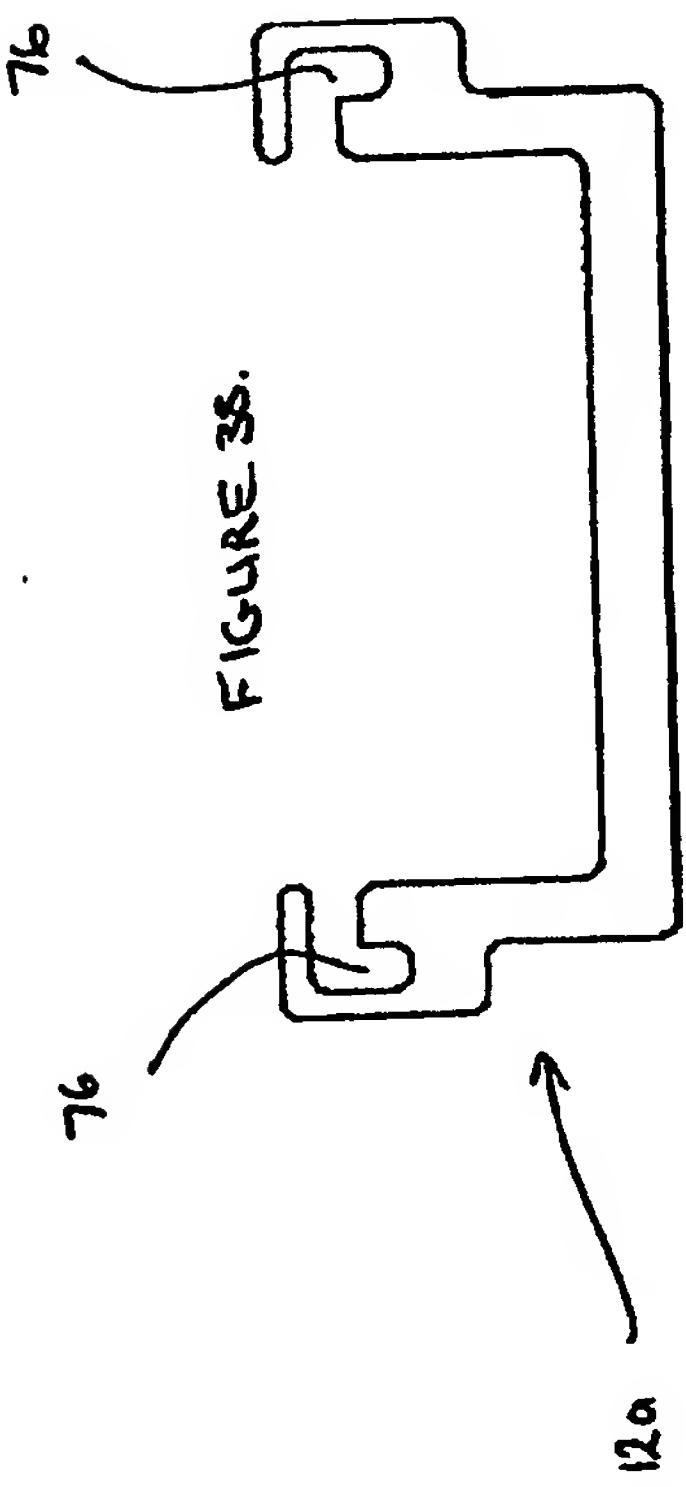


FIGURE 38.

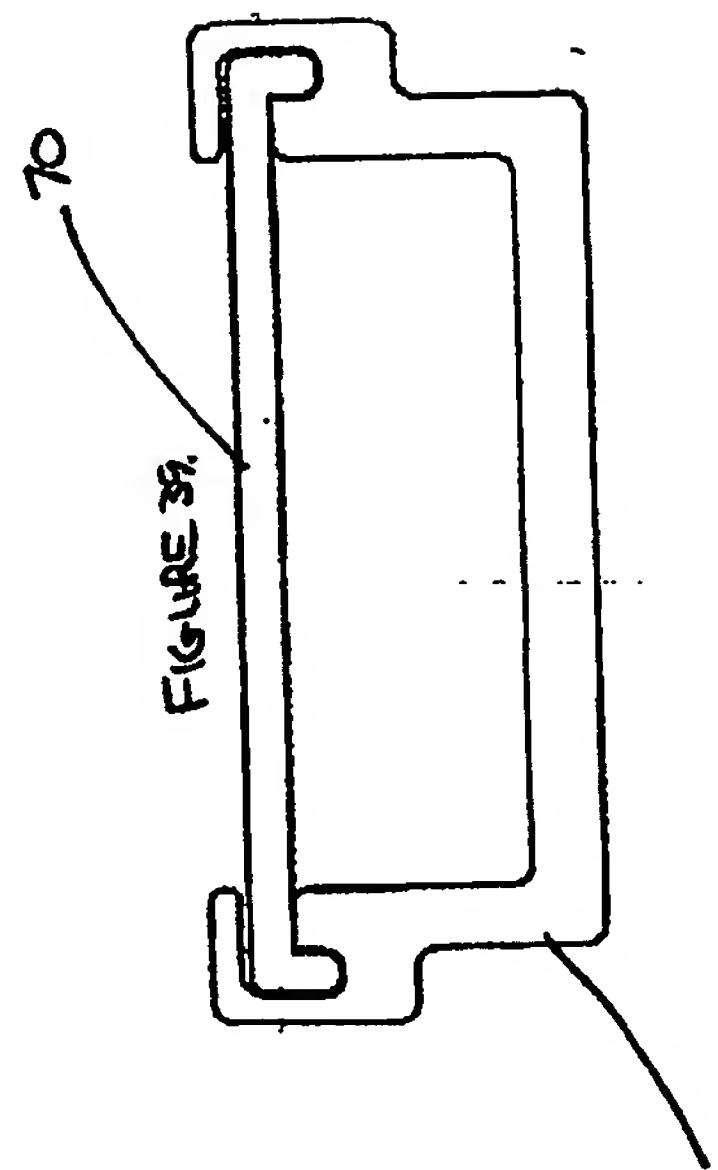


FIGURE 39.

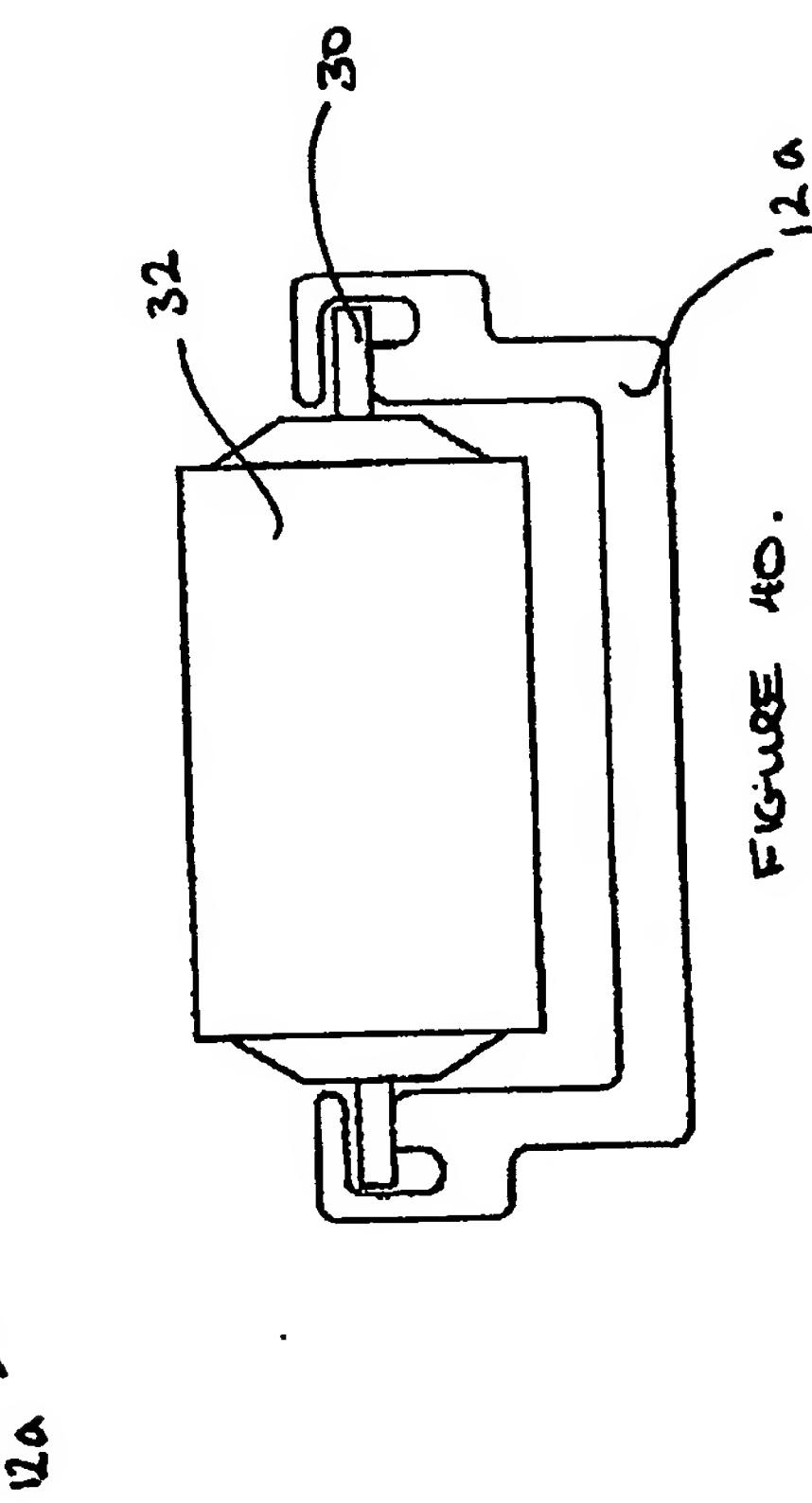


FIGURE 40.

FIGURE 42. 16c, 18c

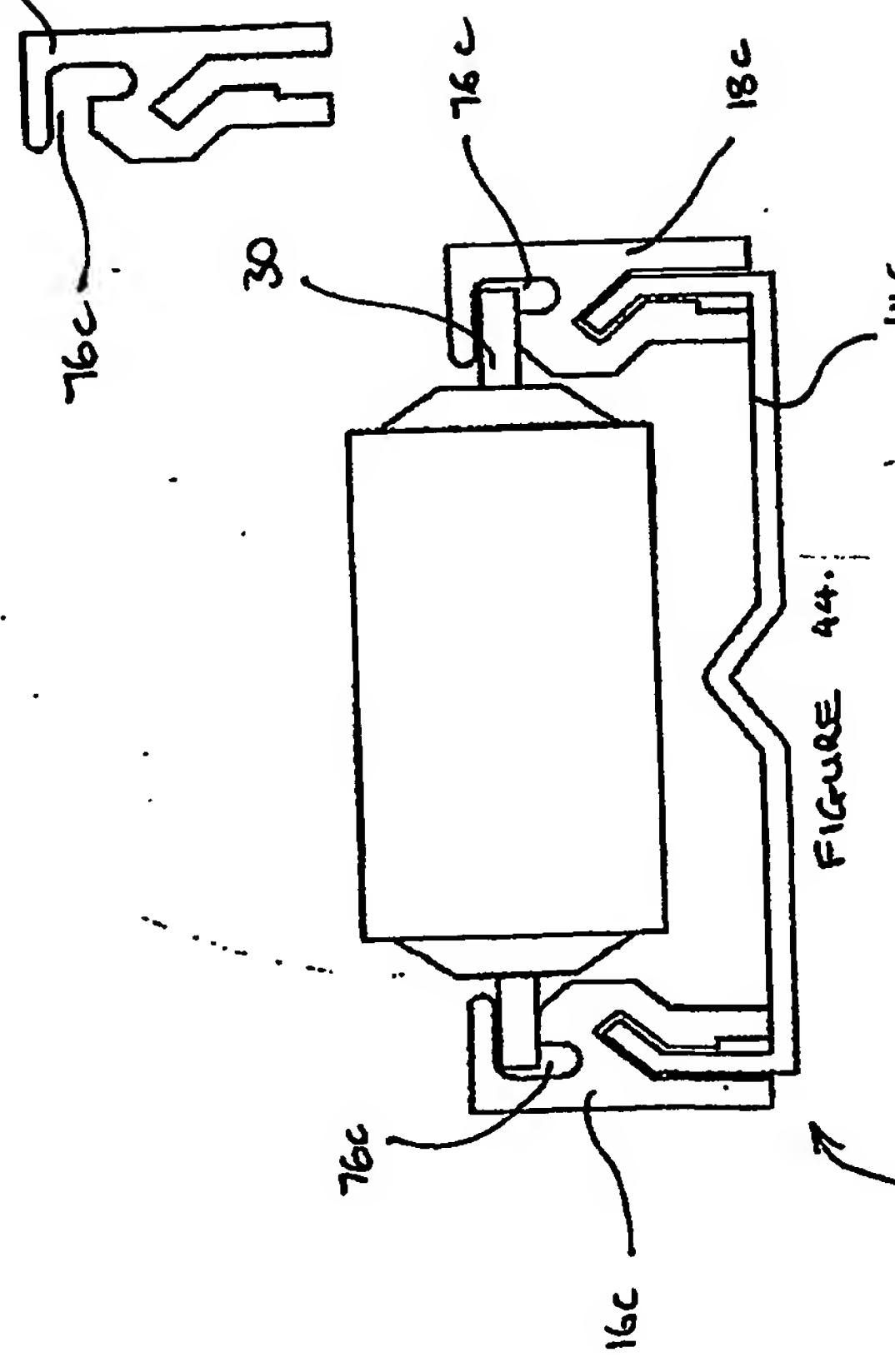


FIGURE 44.

14c

FIGURE 44.

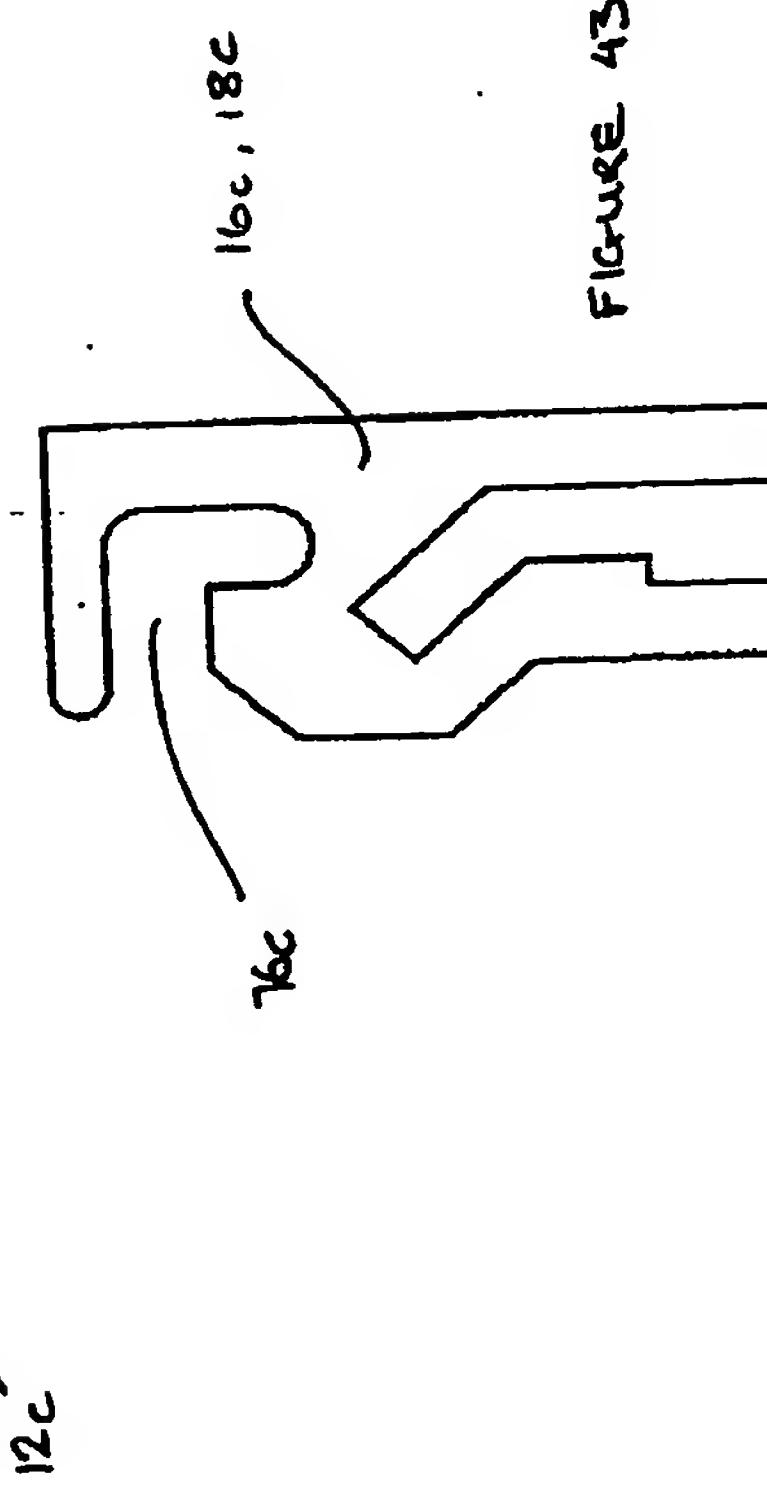
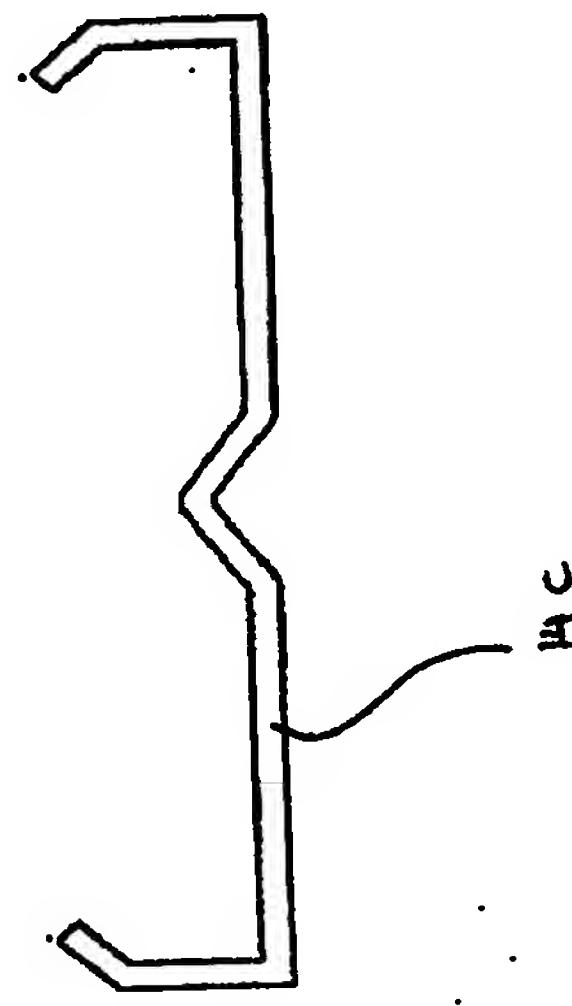


FIGURE 41.



**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

**BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- BLACK BORDERS**
- IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- FADED TEXT OR DRAWING**
- BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- SKEWED/SLANTED IMAGES**
- COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- GRAY SCALE DOCUMENTS**
- LINES OR MARKS ON ORIGINAL DOCUMENT**
- REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- OTHER:** \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**